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BEST AVAILABLE COPY

PATENT Customer No. 22,852 Attorney Docket No. 07579.0015-01000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Graham Edmund KELLY et al.	Group Art Unit: 1614
Serial No.: 10/600,004) Examiner: Cybille DELACROIX-) MUIRHEID
Filed: June 18, 2003	j
) Confirmation No.: 6037
For: COMPOSITIONS FOR	j –
CARDIOVASCULAR AND BONE)
TREATMENT USING)
FORMONONETIN AND OTHER)
ISOFLAVONES (as amended))
MAIL STOP AMENDMENT	•
Commissioner for Patents	
P.O. Box 1450	
Alexandria, VA 22313-1450	
Sir:	

DECLARATION UNDER 37 C.F.R. § 1.132

- I, Graham Edmund Kelly, declare as follows:
- I am currently Executive Director of Novogen Limited, and have held this position from 1994 to the present.
- 2. I am making this Declaration in support of an Amendment and Response to the Office Action dated June 17, 2004 in U.S. Patent Application No. 10/600,004 ("the '004 application"), filed on June 18, 2003, in the United States Patent and Trademark Office ("PTO").
- 3. I am a listed co-inventor of the inventions disclosed in the '004 application.

- 4. I assigned all my rights, title and interest in the '004 application to Novogen Research Pty Ltd. as indicated by the Notice of Recordation of Assignment Document filed in the PTO at reel 012356, frame 0615 on December 10, 2001. To the best of my knowledge and belief, a true copy of the assignment and related paperwork is attached as Exhibit A.
- 5. As a listed co-inventor of the inventions disclosed in the '004 application, I was solely responsible for the inventions in claims reciting compositions of formononetin and one or more isoflavones in a therapeutically effective ratio of formononetin to other isoflavones of 15:1 to 4:1 as well as compositions, the use of such compositions for the beneficial alteration or maintenance of bone density and, in the prevention or treatment of bone fracture.
- 6. To the best of my knowledge and belief, my coinventor, Professor Alan Husband, was solely responsible for the inventions relating to the vascular effects of such compositions.
- 7. I am the sole inventor of U.S. Application No. 09/077,590 ("the '590 application"), filed June 2, 1998, in the United States Patent and Trademark Office, now U.S. Patent No. 6,340,703, which issued January 22, 2002, in the name of Graham Edmund Kelly, for TREATMENT OR PREVENTION OF OSTEOPOROSIS.
- 8. I assigned all my rights, title and interest in the '590 application to Novogen, Inc., as indicated by assignment duly recorded in the U.S. Patent and Trademark Office at Reel 012155, Frame 0650, on September 12, 2001. To the best of my knowledge and belief, a true copy of the assignment and related paperwork is attached as Exhibit A.
- 9. To the best of Assignee's knowledge and belief, Novogen Inc. is a wholly owned U.S. subsidiary of Novogen Limited, an Australian corporation. Novogen Research Pty Ltd is and has always been a wholly owned Australian subsidiary of Novogen Limited.

PATENT Serial No. 10/600,004 Attorney Docket No. 07579.0015-01000

Accordingly, common ownership according to the requirements of CFR § 1.321 is established.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Dated: 08-12-04

Dr. Graham Edmund Kelly

Attorney Docket No.: 07579.0015

JOINT INVENTION (U.S. Rights Only)

ASSIGNMENT

WHEREAS, We, the below named inventors, (hereinafter referred to as assignors, have made an invention entitled:

CARDIOVASCULAR AND BONE TREATMENT USING ISOFLAVONES

for which we executed an a	pplication for United States Letters Patent concurrently
herewith or filed an applica	ion for United States Letters Patent on August 22, 200
(Application No.); and

WHEREAS, NOVOGEN RESEARCH PTY LTD., a corporation of Australia, whose post office address is 140 Wicks Road, North Ryde, New South Wales, 2113, Australia (hereinafter referred to as assignee), is desirous of securing the entire right, title, and interest in and to this invention, the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from assignee is hereby acknowledged, we, as assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, our entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, WE HEREBY further covenant and agree that we will, without further consideration, communicate with assignee, its successors and assigns, any facts known to us respecting this invention and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

AND, WE HEREBY authorize and request the attorneys we have empowered in the Declaration and Power of Attorney in this application, to insert here in parentheses (Application No. 09/914,035, filed August 22, 2001) the filing date and application number of said application when known.

Attorney Dock, No.: 07579.0015

IN TESTIMONY WHEREOF, we have hereunto set our hands.

First Assigi	
	Graham Edmund KELLY 1, 47 Coolawin Road, Northbridge,
	New South Wales, 2063, Australia
Citizenship:	Australia
Signature:	
Date:	21 November 2001
Second Ass	sianor
	· •
	Alan James HUSBAND 2/18 West Crescent Street, McMahons Point New South Wales, 2060, Australia
Citizenship:	Australia
Signature:	the thusband
	1 1

Date:

FEBRUARY 02, 2002

ry of Commerce For Intellectual Property and Director of the United States Patent and Trademark Office PTAS

Washington, DC 20231 www.uspto.gov

FINNEGAN HENDERSON FARABOW GARRETT & , DUNNER, LLP - MR. ERNEST F. CHAPMAN

1300 I STREET, N.W.

WASHINGTON, D.C. 20005-3315

0 7 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/10/2001

REEL/FRAME: 012356/0615

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KELLY, GRAHAM EDMUND

DOC DATE: 11/21/2001

ASSIGNOR:

HUSBAND, ALAN JAMES

DOC DATE: 11/21/2001

ASSIGNEE:

NOVOGEN RESEARCH PTY LTD. 140 WICKS ROAD, NORTH RYDE NEW SOUTH WALES, 2113, AUSTRALIA

SERIAL NUMBER: 09914035

FILING DATE: 12/10/2001

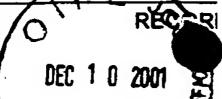
PATENT NUMBER:

ISSUE DATE:

MARCUS KIRK, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS.

1 tott 1 3. 63 1. 000.

1-31-92





Department of Commerce nt and Trademark Office Anorney Docket No. 07579.0015 Attorney Customer Number: 22,852

To the Honorable Commissioner of Patents and Please record the attached on stand documents c, 101914	383 BOX ASSIGNMENTS		
Name of conveying party(ies):	2. Name and address of receiving party(ies):		
Graham Edmund Kelly Alan James HUSBAND	Name: NOVOGEN RESEARCH PTY LTD.		
Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No	Internal Address:		
3. Nature of conveyance:	Street Address: 140 Wicks Road, North Ryde, New South Wales, 2113, Australia		
Assignment	City:		
Security Change of Name Agreement	State: Zip Code:		
Other:	Additional name(s) & Address(es) attached?		
Execution Date: November 21, 2001	☐ Yes		
4. Application number(s) or patent number(s): If this document is be the application:	eing filed together with a new application, the execution date of		
A. Patent Application Number(s):	B. Patent Number(s):		
09/914,035			
Additional numbers attached?	☐ Yes		
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and registrations involved:		
Name: Mr. Ernest F. Chapman	7. Total fee (37 CFR 3.41): \$40		
	Enclosed (Please charge deficiency to deposit account)		
ENVISOAN USUBSBOOK SABABOW OADDSTT	Authorized to be charged to deposit account		
Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.			
Street Address: 1300 I Street, N.W.			
City: Washington, D.C.			
State: Zip: 20005-3315	8. Deposit Account No.: 06-0916		
9. Statement and signature.			
To the best of my knowledge and belief, the foregoing information is true a document.	and correct and any attached copy is a true copy of the original.		
Ernest F. Chapman	December 10, 2001		
Reg. No. 25,961 Signa			
Total number of pages including cover sheet, attachments and documents: 3			

ASSIGNMENT

WHEREAS GRAHAM EDMUND KELLY, herein referred to ASSIGNOR, is a named inventor of an invention entitled "TREATMENT OR PREVENTION OF MENOPAUSAL SYMPTOMS AND OSTEOPOROSIS", described and claimed in International Application PCT/AU98/00313, filed May 1, 1998.

WHEREAS, NOVOGEN INC., a company organized and existing under and by virtue of the laws of , and engaged in business at 1013 Center Road, Wilmington, Delaware 19805, hereinafter referred to as ASSIGNEE, is desirous of acquiring the said invention and any and all applications for Letters Patent and any and all Letters Patent of the United States and foreign countries which may be issued therefor;

NOW THEREFORE THIS IS TO WITNESS that in consideration of the sum of One Dollar (\$1.00) paid to ASSIGNOR by ASSIGNEE, the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR has sold, assigned and set over and hereby does sell, assign and set over, unto assignee, its successors and assigns, his or her entire right, title and interest for, to and within the United States and all foreign countries, in and to the aforesaid invention, and in and to any and all Letters Patent which may be issued therefor in the United States and in any and all foreign countries.

And ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States to ASSIGNEE, its successors and assigns, as the owner of all right, title and interest therein.

And for said consideration, it is hereby covenanted and agreed that at the request and expense of ASSIGNEE, its successors and assigns, ASSIGNOR will execute any further papers and do such other acts and things as may be necessary and proper to permit ASSIGNEE, its successors and assigns, to procure Letters Patent for said invention in the United States and in such foreign countries as ASSIGNEE, its successors and assigns may elect, and vest the full title thereto in ASSIGNEE, its successors and assigns.

IN WITNESS WHEREOF, this assignment has been executed on the day and month indicated below.

Date

1 st Tune 1998

Signature

Graham Edmund Kelly

CEV-JBF-RWM

NOVEMBER 15, 2001

hild Financial Officer and Chief Administrative Officer Washington, DC 20231 www.uspto.gov

FINNEGAN, HENDERSON, FARABOW ET AL JEAN B. FORDIS

1300 I STREET, N.W. WASHINGTON, D.C. 20005-3315 NOV 2 7 2001



FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, LLP.

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/12/2001

REEL/FRAME: 012155/0650

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KELLY, GRAHAM EDMUND

DOC DATE: 06/01/1998

ASSIGNEE:

NOVOGEN, INC. 1013 CENTER ROAD

WILMINGTON, DELAWARE 19805

SERIAL NUMBER: 09077590

PATENT NUMBER:

FILING DATE: 06/02/1998

ISSUE DATE:

SAUNDRA BALLENGER, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

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09-17-2001

U.S. Department of Commerce
Patent and Trademark Office
Customer Number 22,852
Attorney Docket No. 07579,0006-00000

	T.	0/					mey Docket No. 0/5/9.0006-00
To the H. noral	ole Commis he attached	signer of Patents aid original documents o	1018 	34496	3	. BOX A	ASSIGNMENTS
1. Name	of conveying m Edmund K	•	271	2. Nam		Name and addre	ss of receiving party:
Additional name	e(s) of conve	ying party(ies) attached	? ☐ Yes ☒ No	Inter	nal A	Address:	
3. Nature	of conveyar	nce:	<u> </u>	Stre	et Ad	dress: 101	3 Center Road
⊠ Assi	gnment	☐ Merger		City	: 1	Wilmington	
	urity eement	☐ Change of N	ame	Stat	e:	Delaware	Zip Code: 19805
Othe	er:			Add	itiona	al name(s) & Add	lress(es) attached?
Execution Date	s: June 1,	1998	٠.		1	☐ Yes	⊠ No
4. Applic the ap	ation numbe plication:	r(s) or patent number(s): If this document	is being fil	ed to	gether with a nev	w application, the execution dat
A. Paten	Application	Numbers:		В.	!	Patent Number(s):
U.S. Pate	nt Applicatio	n No. 09/077,590, filed	June 2, 1998			•	
		Additional n	umbers attached?	Y	es	⊠ No	
5. Name conce	and address	s of party to whom corre	espondence	6.		Total number of involved:	applications and registrations
Name: Jean	B. Fordis			7.		Total fee (37 CF	R 3.41): \$40
			,		\boxtimes	Enclosed (Faccount)	Please charge deficiency to dep
			<i>(</i>			Authorized	to be charged to deposit accou
Internal Addres		GAN, HENDERSON, F. INER, L.L.P.	ARABOW, GARR	ETT			
Street Address	: 1300 I	Street, N.W.					
City:	Washingto	on, D.C.	**************************************				· · · · · · · · · · · · · · · · · · ·
State:		Zip: 20	0005-3315	8.		Deposit Accoun	t No.: <u>06-0916</u>
9. State	ment and sig	nature.					
To the best of document.	my knowledo	ge and belief, the forego	ing information is	true and co	orrect	t and any attache	ed copy is a true copy of the ori
	B. Fordis No. 32,984		Jean	В. Э	YO	lis	September 10, 2001
		9077590		Signature			Date
14/2001 DBYRNE		Total rappobet of pages	ncluding cover sh	eet, attach	ments	s and documents	s: 2



PATENT Customer No. 22,852 Attorney Docket No. 07579.0015-01000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re A	Application of:)
Graha	m Edmund KELLY et al.	Group Art Unit: 1614
Serial	No.: 10/600,004) Examiner: Cybille DELACROIX-) MUIRHEID
Filed:	June 18, 2003) Confirmation No.: 6037
For:	COMPOSITIONS FOR CARDIOVASCULAR AND BONE TREATMENT USING FORMONONETIN AND OTHER ISOFLAVONES (as amended)))))
Comn P.O. E	STOP AMENDMENT hissioner for Patents Sox 1450 hdria, VA 22313-1450	
Sir:		

DECLARATION UNDER 37 C.F.R. § 1.132

I, Alan J. Husband, declare as follows:

- 1. I am currently Research Director of Novogen Limited, and have held this position from April 1996 to the present.
- I am making this Declaration in support of an Amendment and Response to the Office Action dated June 17, 2004 in U.S. Patent Application No. 10/600,004 ("the '004 application"), filed on June 18, 2003, in the United States Patent and Trademark Office ("PTO").
- 3. I am a listed co-inventor of the inventions disclosed in the '004 application.

PATENT Serial No. 10/600,004 Attorney Docket No. 07579.0015-01000

- I assigned all my rights, title and interest in the '004 application to Novogen Research Pty Ltd. as indicated by the Notice of Recordation of Assignment Document filed in the PTO at reel 012356, frame 0615 on December 10, 2001. To the best of my knowledge and belief, a true copy of the assignment and related paperwork is attached as Exhibit A.
- 5. As a listed co-inventor of the inventions disclosed in the '004 application, I was solely responsible for the inventions relating to the vascular effects of high formonometin containing compositions.
- 6. To the best of my knowledge and belief, my coinventor, Dr. Graham Edmund Kelly, was solely responsible for the inventions in claims reciting compositions of formononetin and one or more isoflavones in a therapeutically effective ratio of formononetin to other isoflavones of 15:1 to 4:1 as well as compositions, the use of such compositions for the beneficial alteration or maintenance of bone density and, in the prevention or treatment of bone fracture.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Dated: 11 WOVEMBER, 20ALF

Professor Alan J. Husband

Attorney Docket No.: 07579.0015

JOINT INVENTION (U.S. Rights Only)

ASSIGNMENT

WHEREAS, We, the below named inventors, (hereinafter referred to as assignors, have made an invention entitled:

CARDIOVASCULAR AND BONE TREATMENT USING ISOFLAVONES

for which we execut	ed an application for United States Letters Patent concurrently	/
herewith or filed an	application for United States Letters Patent on August 22, 200	1
(Application No); and	

WHEREAS, NOVOGEN RESEARCH PTY LTD., a corporation of Australia, whose post office address is 140 Wicks Road, North Ryde, New South Wales, 2113, Australia (hereinafter referred to as assignee), is desirous of securing the entire right, title, and interest in and to this invention, the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from assignee is hereby acknowledged, we, as assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, our entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, WE HEREBY further covenant and agree that we will, without further consideration, communicate with assignee, its successors and assigns, any facts known to us respecting this invention and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

AND, WE HEREBY authorize and request the attorneys we have empowered in the Declaration and Power of Attorney in this application, to insert here in parentheses (Application No. $\frac{09/914,035}{}$, filed August 22, 2001) the filing date and application number of said application when known.

Attorney Dock No.: 07579.0015

IN TESTIMONY WHEREOF, we have hereunto set our hands.

First Assign	nor
	Graham Edmund KELLY
	1, 47 Coolawin Road, Northbridge,
	New South Wales, 2063, Australia
Citizenship:	Australia
	(100)
Signature:	
Date:	21 November 2001
Date.	
C	i manar

Second Assignor

Alan James HUSBAND

2/18 West Crescent Street, McMahons Point,

New South Wales, 2060, Australia

12 COO UST, ICWM

FEBRUARY 02, 2002

PTAS

Director of the United States Patent and Trademark Office

FINNEGAN HENDERSON FARABOW GARRETT & ,
DUNNER, LLP - MR. ERNEST F. CHAPMAN

1 & | 0 7 2002

Washington, DC 20231 www.uspto.gov

1300 I STREET, N.W. WASHINGTON, D.C. 20005-3315

FARABOW, GARRETT & DUNNER.

*1010112022

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RECORDATION DATE: 12/10/2001

REEL/FRAME: 012356/0615

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KELLY, GRAHAM EDMUND

DOC DATE: 11/21/2001

ASSIGNOR:

HUSBAND, ALAN JAMES

DOC DATE: 11/21/2001

ASSIGNEE:

NOVOGEN RESEARCH PTY LTD. 140 WICKS ROAD, NORTH RYDE NEW SOUTH WALES, 2113, AUSTRALIA

SERIAL NUMBER: 09914035

FILING DATE: 12/10/2001

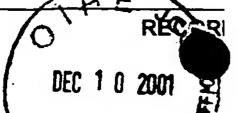
PATENT NUMBER:

ISSUE DATE:

MARCUS KIRK, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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12-13-2001

nt and Trademark Office
Acorney Docket No. 07579.0015
Attorney Customer Number: 22,852

Please record the attached original documents of 101914.	383 BOX ASSIGNMENTS		
Name of conveying party(ies): Graham Edmund Kelly	2. Name and address of receiving party(ies):		
2) Alan James HUSBAND	Name: NOVOGEN RESEARCH PTY LTD.		
Additional name(s) of conveying party(ies) attached? Yes No	Internal Address:		
3. Nature of conveyance:	Street Address: 140 Wicks Road, North Ryde, New South Wales, 2113, Australia		
Assignment	City:		
Security Change of Name Agreement	State: Zip Code:		
Other:	Additional name(s) & Address(es) attached?		
Execution Date: November 21, 2001	☐ Yes		
Application number(s) or patent number(s): If this document is be the application:	eing filed together with a new application, the execution date of		
A. Patent Application Number(s):	B. Patent Number(s):		
09/914,035			
Additional numbers attached?	☐ Yes No		
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and registrations involved:		
Name: Mr. Ernest F. Chapman	7. Total fee (37 CFR 3.41): \$40		
	Enclosed (Please charge deficiency to deposit account)		
	Authorized to be charged to deposit account		
Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.			
Street Address: 1300 I Street, N.W.			
City: Washington, D.C.			
State: Zip: 20005-3315	8. Deposit Account No.: 06-0916		
9. Statement and signature.	<u> </u>		
To the best of my knowledge and belief, the foregoing information is true a document.	and correct and any attached copy is a true copy of the original		
Ernest F. Chapman Reg. No. 25,961 Signa	December 10, 2001 ture Date		
Total number of pages including cover sheet, attachments and documents: 3			

12/12/2001 TDIAZ1

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01 FC:581

40.00 DP

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF MISSOURI EASTERN DIVISION

Plaintiff,

vs.

OIVIL ACTION No.:4:03CV01595RWS

ARCHER DANIELS MIDLAND COMPANY
and AMERIFIT NUTRITION, INC.

Defendants.

THIRD SUPPLEMENTAL COMPLAINT

Plaintiff Solae, LLC ("Solae" or "Plaintiff"), by its attorneys, files this Third Supplemental Complaint against Archer Daniels Midland Company and Amerifit Nutrition, Inc. ("Defendants"), alleging as follows:

JURISDICTION AND VENUE

- 1. This is an action for patent infringement arising under Title 35 of the United States Code, Chapter 28, Section 271 and Chapter 29, Section 281, et seq.
- 2. Upon information and belief, Defendants have committed infringing acts in this district and are subject to personal jurisdiction in this district.
- 3. Jurisdiction is conferred on this Court pursuant to 28 U.S.C. §§ 1331, 1332 and/or 1338(a).

4. Venue is proper in this district pursuant to 28 U.S.C. §§ 1391(a), (b), (c), 28 U.S.C. § 1400(b), and E.D.Mo. L.R. 2.07(B)(3) and (4).

THE PARTIES

- 5. Plaintiff Solae, LLC ("Solae" or "Plaintiff") is a Delaware limited liability corporation, having a principal place of business in St. Louis, Missouri.
- 6. Upon information and belief, defendant Archer Daniels Midland Company ("ADM") is a corporation organized under the law of Delaware, having its principal place of business at 4666 Faries Parkway, Decatur, Illinois 62526.
- 7. Upon information and belief, defendant Amerifit Nutrition, Inc. ("Amerifit") is a corporation organized under the law of Delaware, having its principal place of business at 166 Highland Park Drive, Bloomfield, Connecticut 06002.

BACKGROUND

- 8. St. Louis-based Solae is a leader in the research, manufacturing and marketing of high-quality, soy-based ingredients and ingredient systems.
- 9. There are three principal classes of phyto-oestrogens: isoflavones, lignans, and coumestans.
- 10. Isoflavones are bioactive chemicals found naturally in plants. Soy isoflavones exist in three main families: genistein, daidzein and glycitein. Four chemical forms of isoflavones known as aglucones, glycosides, malonyl conjugates, and acetyl

- conjugates are found within each family. Soybeans are the major food source of the naturally-occurring isoflavones genistein and daidzein.
- 11. In the production of commercial products such as soy protein concentrates, the focus has been to remove soy isoflavone compounds since they are associated with the bitter flavor of soybeans. In a conventional process for the production of a soy protein concentrate, extracted material containing soy isoflavones, is typically discarded. As a result, the extract from a conventional process is an inexpensive and desirable source of soy isoflavones.
- 12. Recent clinical research suggests that isoflavones contained in vegetable protein materials such as soybeans, are bioactive compounds that may provide various health benefits, including the reduction of cardiovascular risk factors, the amelioration of conditions caused by reduced levels of endogenous estrogen in women (e.g., menopause or premenstrual syndrome), and the inhibition of certain human cancer cells (e.g., breast and prostate cancer cells).
- 13. U.S. Patent No. 6,642,212, issued November 4, 2003 (copy attached as Exhibit 1) ("the '212 patent") entitled "Health Supplements Containing Phyto-Oestrogens, Analogues Or Metabolites Thereof," names Graham Kelly as inventor and lists on its face Novogen Research Pty. Limited (New South Wales, Australia) as assignee.
- 14. Solae is the sole and exclusive licensee of the '212 patent for products containing soy-derived isoflavones. Solae was formerly known as Protein Technologies International, Inc. ("PTI"). On or about March 28, 2003, PTI was renamed and reincorporated as Solae.

- 15. Upon information and belief, ADM manufactures and markets a product that infringes at least one claim of the '212 patent, which product is known as Novasoy® ("Novasoy") and is a powdered compound extracted from soybeans containing naturally-occurring isoflavones.
- 16. Upon information and belief, ADM's Novasoy contains at least the soy isoflavones daidzein, genistein, and glycitein, their glycosides, and acetyl and malonyol esters.
- 17. Upon information and belief, ADM's Novasoy isoflavone material is marketed and sold by ADM in this district and throughout the United States.
- 18. Upon information and belief, ADM's Novasoy isoflavone material is marketed and sold by ADM to manufacturers for incorporation into health and/or dietary supplements that infringe at least one claim of the '212 patent and are marketed and sold in this district and throughout the United States.
- 19. Upon information and belief, Amerifit manufactures and markets a product that infringes at least one claim of the '212 patent, which product is known as Estroven® ("Estroven") and is a health supplement containing Novasoy isoflavone material provided by ADM.
- 20. Upon information and belief, Amerifit's Estroven contains at least the soy isoflavones daidzein, genistein, and glycitein, their glycosides, and acetyl and malonyol esters.

- 21. Upon information and belief, Amerifit's Estroven is marketed and sold by Amerifit in this district and throughout the United States for alleviating symptoms associated with menopause.
- 22. U.S. Patent No. 6,664,382, issued December 16, 2003 (copy attached as Exhibit 2) (the '382 patent") entitled "Recovery of Isoflavones from Soy Molasses," names Doyle Waggle and Barbara Bryan as inventors and lists as assignee Solae, LLC ("Solae").
- 23. Upon information and belief, ADM manufactures and markets a product that infringes at least one claim of the '382 patent, which product is known as Novasoy® ("Novasoy") and is a powdered compound extracted from soybeans containing naturally-occurring isoflavones.
- 24. Upon information and belief, ADM's Novasoy isoflavone material is marketed and sold by ADM to manufacturers for incorporation into health and/or dietary supplements that infringe at least one claim of the '382 patent and are marketed and sold in this district and throughout the United States.
- 25. Upon information and belief, Amerifit manufactures and markets a product that infringes at least one claim of the '382 patent, which product is known as Estroven® ("Estroven") and is a health supplement containing Novasoy isoflavone material provided by ADM.
- 26. U.S. Patent No. 6,680,381 issued January 20, 2004 (copy attached as Exhibit 3) ("the '381 patent") entitled "Recovery of Isoflavones from Soy Molasses," names Doyle H.

- Waggle and Barbara A. Bryan as inventors and lists on its face Solae, LLC (St. Louis, MO) as assignee.
- 27. Upon information and belief, ADM manufactures and markets a product that infringes at least one claim of the '381 patent, which product is known as Novasoy® ("Novasoy") and is a powdered compound extracted from soybeans containing naturally-occurring isoflavones.
- 28. Upon information and belief, ADM's Novasoy isoflavone material is marketed and sold by ADM to manufacturers for incorporation into health and/or dietary supplements that infringe at least one claim of the '381 patent and are marketed and sold in this district and throughout the United States.
- 29. Upon information and belief, Amerifit manufactures and markets a product that infringes at least one claim of the '381 patent, which product is known as Estroven® ("Estroven") and is a health supplement containing Novasoy isoflavone material provided by ADM.
- 30. U.S. Patent No. 6,706,292 issued March 16, 2004 (copy attached as Exhibit 4) ("the '292 patent") entitled "Recovery of Isoflavones from Soy Molasses," names Doyle H. Waggle and Barbara A. Bryan as inventors and lists on its face Solae, LLC (St. Louis, MO) as assignee.
- 31. Upon information and belief, ADM manufactures and markets a product that infringes at least one claim of the '292 patent, which product is known as Novasoy®

- ("Novasoy") and is a powdered compound extracted from soybeans containing naturally-occurring isoflavones.
- 32. Upon information and belief, ADM's Novasoy isoflavone material is marketed and sold by ADM to manufacturers for incorporation into health and/or dietary supplements that infringe at least one claim of the '292 patent and are marketed and sold in this district and throughout the United States.
- 33. Upon information and belief, Amerifit manufactures and markets a product that infringes at least one claim of the '292 patent, which product is known as Estroven® ("Estroven") and is a health supplement containing Novasoy isoflavone material provided by ADM.

FIRST CAUSE OF ACTION

PATENT INFRINGEMENT

- 34. Solae here repeats and incorporates by reference all the allegations of paragraphs 1 through 33 above.
- 35. Upon information and belief, ADM has directly and/or contributorily infringed at least one claim of the '212 patent in connection with making, using, offering to sell, selling, and/or importation of Novasoy. ADM will continue such infringing activities, to the irreparable injury of Solae, unless enjoined by the Court.
- 36. Upon information and belief, ADM has knowingly induced others to infringe at least one claim of the '212 patent in connection with making, using, offering to sell,

- selling, and/or importation of Novasoy. ADM will continue such infringing activities, to the irreparable injury of Solae, unless enjoined by the Court.
- 37. Upon information and belief, Amerifit has directly and contributorily infringed at least one claim of the '212 patent in connection with making, using, offering to sell, selling, and/or importation of Estroven. Amerifit will continue such infringing activities, to the irreparable injury of Solae, unless enjoined by the Court.
- 38. Upon information and belief, Amerifit has knowingly induced others to infringe at least one claim of the '212 patent in connection with making, using, offering to sell, selling, and/or importation of Estroven. Amerifit will continue such infringing activities, to the irreparable injury of Solae, unless enjoined by the Court.
- 39. Upon information and belief, ADM has directly and/or contributorily infringed at least one claim of the '382 patent in connection with making, using, offering to sell, selling, and/or importation of Novasoy. ADM will continue such infringing activities, to the irreparable injury of Solae, unless enjoined by the Court.
- 40. Upon information and belief, ADM has knowingly induced others to infringe at least one claim of the '382 patent in connection with making, using, offering to sell, selling, and/or importation of Novasoy. ADM will continue such infringing activities, to the irreparable injury of Solae, unless enjoined by the Court.
- 41. Upon information and belief, Amerifit has directly and contributorily infringed at least one claim of the '382 patent in connection with making, using, offering to sell,

- selling, and/or importation of Estroven. Amerifit will continue such infringing activities, to the irreparable injury of Solae, unless enjoined by the Court.
- 42. Upon information and belief, Amerifit has knowingly induced others to infringe at least one claim of the '382 patent in connection with making, using, offering to sell, selling, and/or importation of Estroven. Amerifit will continue such infringing activities, to the irreparable injury of Solae, unless enjoined by the Court.
- 43. Upon information and belief, ADM has directly and/or contributorily infringed at least one claim of the '381 patent in connection with making, using, offering to sell, selling, and/or importation of Novasoy. ADM will continue such infringing activities, to the irreparable injury of Solae, unless enjoined by the Court.
- 44. Upon information and belief, ADM has knowingly induced others to infringe at least one claim of the '381 patent in connection with making, using, offering to sell, selling, and/or importation of Novasoy. ADM will continue such infringing activities, to the irreparable injury of Solae, unless enjoined by the Court.
- 45. Upon information and belief, Amerifit has directly and contributorily infringed at least one claim of the '381 patent in connection with making, using, offering to sell, selling, and/or importation of Estroven. Amerifit will continue such infringing activities, to the irreparable injury of Solae, unless enjoined by the Court.
- 46. Upon information and belief, Amerifit has knowingly induced others to infringe at least one claim of the '381 patent in connection with making, using, offering to sell,

- selling, and/or importation of Estroven. Amerifit will continue such infringing activities, to the irreparable injury of Solae, unless enjoined by the Court.
- 47. Upon information and belief, ADM has directly and/or contributorily infringed at least one claim of the '292 patent in connection with making, using, offering to sell, selling, and/or importation of Novasoy. ADM will continue such infringing activities, to the irreparable injury of Solae, unless enjoined by the Court.
- 48. Upon information and belief, ADM has knowingly induced others to infringe at least one claim of the '292 patent in connection with making, using, offering to sell, selling, and/or importation of Novasoy. ADM will continue such infringing activities, to the irreparable injury of Solae, unless enjoined by the Court.
- 49. Upon information and belief, Amerifit has directly and contributorily infringed at least one claim of the '292 patent in connection with making, using, offering to sell, selling, and/or importation of Estroven. Amerifit will continue such infringing activities, to the irreparable injury of Solae, unless enjoined by the Court.
- 50. Upon information and belief, Amerifit has knowingly induced others to infringe at least one claim of the '292 patent in connection with making, using, offering to sell, selling, and/or importation of Estroven. Amerifit will continue such infringing activities, to the irreparable injury of Solae, unless enjoined by the Court.

REQUEST FOR RELIEF

WHEREFORE, Solae prays that this Court enter judgment in its favor against the Defendants and grant the following relief:

- A. Permanently enjoin Defendants, their agents, successors and assigns and all persons acting on their behalf or within their control, from making, using, selling, or offering to sell, importing or otherwise engaging in acts of infringement of the '212 patent;
- B. Permanently enjoin Defendants, their agents, successors and assigns and all persons acting on their behalf or within their control, from making, using, selling, or offering to sell, importing or otherwise engaging in acts of infringement of the '382 patent;
- C. Permanently enjoin Defendants, their agents, successors and assigns and all persons acting on their behalf or within their control, from making, using, selling, or offering to sell, importing or otherwise engaging in acts of infringement of the '381 patent;
- D. Permanently enjoin Defendants, their agents, successors and assigns and all persons acting on their behalf or within their control, from making, using, selling, or offering to sell, importing or otherwise engaging in acts of infringement of the '292 patent;
- E. Award Plaintiff damages caused by Defendants' patent infringement as determined by the jury in this action;
- F. Enter an order declaring this an exceptional case and awarding Plaintiff interest, costs and disbursements in this action, including reasonable attorneys' fees pursuant to 35 U.S.C. § 285; and

G. Award Plaintiff such further relief as the Court may deem just and proper.

DEMAND FOR A JURY TRIAL

Plaintiff hereby demands trial by jury of all issues so triable in this action.

Dated: April 26, 2004.

Respectfully submitted,

LEWIS, RICE & FINGERSH, L.C.

By: /s/ C. David Goerisch

Andrew Rothschild, #4214 C. David Goerisch, #77207 Michael J. Hickey, #101931

500 North Broadway, Suite 2000

St. Louis, Missouri 63102

(314) 444-7600 – Telephone

(314) 241-6056 - Facsimile

E-mail:

arothschild@lewisrice.com

dgoerisch@lewisrice.com mhickey@lewisrice.com

Patricia A. Carson

Thomas F. Fleming

KAYE SCHOLER LLP

425 Park Avenue

New York, NY 10022

Telephone: (212) 836-8000

Facsimile: (212) 836-8689

Email: pcars

pcarson@kayescholer.com

tfleming@kayescholer.com

CERTIFICATE OF SERVICE

The undersigned hereby certifies that on this 26th day of April, 2004, a true and accurate copy of the foregoing was filed electronically with the Clerk of Court to be served by operation of the Court's electronic filing system upon the following persons:

Stephen H. Rovak
Michael T. Marrah
Kirill Y. Abramov
Sonnenschein, Nath & Rosenthal LLP
One Metropolitan Square, Suite 3000
St. Louis, MO 63102

David K.S. Cornwell
Timothy J. Shea, Jr.
Donald R. Banowit
Sterne, Kessler, Goldstein & Fox, P.L.L.C.
1100 New York Avenue, N.W.
Washington, DC 20005

/s/ C. David Goerisch

PE JCIAI LEED SOLAE, L.L.C.,

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF MISSOURI EASTERN DIVISION

SOLAE, L.L.C.,)	
Plaintiff,)	
V. ·)	Civil Action No. 4:03CV00732 HEA
ARCHER DANIELS MIDLAND COMPANY)	JURY TRIAL DEMANDED
and		
AMERIFIT NUTRITION, INC.)	
Defendants.)	

DEFENDANT, ARCHER DANIELS MIDLAND COMPANY'S, ANSWER AND COUNTER CLAIMS TO PLAINTIFF'S THIRD SUPPLEMENTAL COMPLAINT

Defendant, ARCHER DANIELS MIDLAND COMPANY ("ADM"), by and through its attorneys, hereby answers the claims of the Plaintiff, SOLAE, L.L.C., ("Solae"), presented in Plaintiff's Third Supplemental Complaint for Patent Infringement and Jury Demand ("Complaint"), as follows:

- 1. ADM does not have sufficient information to either admit or deny the allegations, contained in paragraph one, concerning the legal basis for this Complaint, and therefore denies same and leaves Plaintiff to its proof thereof.
- 2. ADM admits that it is subject to personal jurisdiction in this district. ADM denies that it has committed infringing acts in this district.
- 3. ADM admits that this Court has exclusive jurisdiction over the subject matter of this action.
 - 4. ADM admits that venue is proper in this Court.

- 5. ADM does not have sufficient information to either admit or deny the allegations, contained in paragraph five, concerning the place of incorporation and principal place of business of Solae set forth therein, and therefore denies same and leaves Plaintiff to its proof thereof.
 - 6. ADM admits the allegations contained in paragraph six of the Complaint.
 - 7. ADM admits the allegations contained in paragraph seven of the Complaint.
- 8. ADM does not have sufficient information to either admit or deny the allegations, contained in paragraph eight and therefore denies same and leaves Plaintiff to its proof thereof.
- 9. ADM does not have sufficient information to either admit or deny the allegations, contained in paragraph nine and therefore denies same and leaves Plaintiff to its proof thereof.
- 10. ADM does not have sufficient information to either admit or deny the allegations, contained in paragraph ten and therefore denies same and leaves Plaintiff to its proof thereof.
- 11. ADM does not have sufficient information to either admit or deny the allegations, contained in paragraph eleven and therefore denies same and leaves Plaintiff to its proof thereof.
- 12. ADM does not have sufficient information to either admit or deny the allegations, contained in paragraph twelve and therefore denies same and leaves Plaintiff to its proof thereof.
 - 13. ADM states that the document speaks for itself.
 - 14. ADM denies the allegations contained in paragraph fourteen of the Complaint.
 - 15. ADM denies the allegations contained in paragraph fifteen of the Complaint.
- 16. To the extent paragraph sixteen of the Complaint alleges that ADM's Novasoy contains more than trace amounts of each of the soy isoflavones daidzein, genistein, and glycitein, their glucosides, and acetyl and malonyol esters, ADM denies the allegations contained in paragraph sixteen of the Complaint.
 - 17. ADM admits the allegations contained in paragraph seventeen of the Complaint.
 - 18. ADM denies the allegations contained in paragraph eighteen of the Complaint.
 - 19. ADM denies the allegations contained in paragraph nineteen of the Complaint.

- 20. To the extent paragraph twenty of the Complaint alleges that Amerifit's Estroven contains more than trace amounts of each of the soy isoflavones daidzein, genistein, and glycitein, their glucosides, and acetyl and malonyol esters, ADM denies the allegations contained in paragraph twenty of the Complaint.
 - 21. ADM admits the allegations contained in paragraph twenty-one of the Complaint.
 - 22. ADM states that the document speaks for itself.
- 23. ADM denies the allegations contained in paragraph twenty-three of the Complaint.
 - 24. ADM denies the allegations contained in paragraph twenty-four of the Complaint.
 - 25. ADM denies the allegations contained in paragraph twenty-five of the Complaint.
 - 26. ADM states that the document speaks for itself.
- 27. ADM denies the allegations contained in paragraph twenty-seven of the Complaint.
- 28. ADM denies the allegations contained in paragraph twenty-eight of the Complaint.
 - 29. ADM denies the allegations contained in paragraph twenty-nine of the Complaint.
 - 30. ADM states that the document speaks for itself.
 - 31. ADM denies the allegations contained in paragraph thirty-one of the Complaint.
 - 32. ADM denies the allegations contained in paragraph thirty-two of the Complaint.
 - 33. ADM denies the allegations contained in paragraph thirty-three of the Complaint.
 - 34. ADM repeats the answers set forth above in paragraphs 1-33.
 - 35. ADM denies the allegations contained in paragraph thirty-five of the Complaint.
 - 36. ADM denies the allegations contained in paragraph thirty-six of the Complaint.
 - 37. ADM denies the allegations contained in paragraph thirty-seven of the Complaint.
 - 38. ADM denies the allegations contained in paragraph thirty-eight of the Complaint.
 - 39. ADM denies the allegations contained in paragraph thirty-nine of the Complaint.
 - 40. ADM denies the allegations contained in paragraph forty of the Complaint.

- 41. ADM denies the allegations contained in paragraph forty-one of the Complaint.
- 42. ADM denies the allegations contained in paragraph forty-two of the Complaint.
- 43. ADM denies the allegations contained in paragraph forty-three of the Complaint.
- 44. ADM denies the allegations contained in paragraph forty-four of the Complaint.
- 45. ADM denies the allegations contained in paragraph forty-five of the Complaint.
- 46. ADM denies the allegations contained in paragraph forty-six of the Complaint.
- 47. ADM denies the allegations contained in paragraph forty-seven of the Complaint.
- 48. ADM denies the allegations contained in paragraph forty-eight of the Complaint.
- 49. ADM denies the allegations contained in paragraph forty-nine of the Complaint.
- 50. ADM denies the allegations contained in paragraph fifty of the Complaint.

Affirmative Defenses

Invalidity

- 51. Each claim of the '212 patent is invalid under 35 U.S.C. § 102 as lacking novelty, is invalid under 35 U.S.C. § 103 because the differences between the subject matter claimed and the prior art are such that it would have been obvious at the time the invention was made to a person having ordinary skill in the art to which the subject matter pertains; and/or is invalid for failure to comply with the requirements of 35 U.S.C. § 112.
- 52. Each claim of the '382 patent is invalid under 35 U.S.C. § 102 as lacking novelty; is invalid under 35 U.S.C. § 103 because the differences between the subject matter claimed and the prior art are such that it would have been obvious at the time the invention was made to a person having ordinary skill in the art to which the subject matter pertains; and/or is invalid for failure to comply with the requirements of 35 U.S.C. § 112.
- 53. Each claim of the '381 patent is invalid under 35 U.S.C. § 102 as lacking novelty, is invalid under 35 U.S.C. § 103 because the differences between the subject matter claimed and the prior art are such that it would have been obvious at the time the invention was made to a

person having ordinary skill in the art to which the subject matter pertains; and/or is invalid for failure to comply with the requirements of 35 U.S.C. § 112.

54. Each claim of the '292 patent is invalid under 35 U.S.C. § 102 as lacking novelty; is invalid under 35 U.S.C. § 103 because the differences between the subject matter claimed and the prior art are such that it would have been obvious at the time the invention was made to a person having ordinary skill in the art to which the subject matter pertains; and/or is invalid for failure to comply with the requirements of 35 U.S.C. § 112.

Non-Infringement

- 55. ADM does not now infringe, nor has it ever infringed, the '212 patent.
- 56. ADM does not now infringe, nor has it ever infringed, the '382 patent.
- 57. ADM does not now infringe, nor has it ever infringed, the '381 patent.
- 58. ADM does not now infringe, nor has it ever infringed, the '292 patent.

Counter-Claim

Declaratory Judgment

- 59. On information and belief, Plaintiff and counterclaim Defendant, Solae, L.L.C., is a Delaware limited liability corporation, having a principal place of business in St. Louis. Missouri.
- 60. Defendant and counterclaim Plaintiff, Archer Daniels Midland Company, is a corporation organized under the laws of the state of Delaware with its principal place of business at 4666 Fairies Parkway, Decatur, Illinois 62526.
- 61. This is an action for declaratory judgment of invalidity, unenforceability and non-infringement of U.S. Patent No. 6,642,212 ("the '212 patent"); U.S. Patent No. 6,664,382 ("the '382 patent"); U.S. Patent No. 6,680,381 ("the '381 patent"); and U.S. Patent No. 6,706,292 ("the '292 patent") under the patent laws of the United States, Title 35 United States Code.

- 62. Exclusive jurisdiction over these counterclaims is conferred upon this Court pursuant to 28 U.S.C. § 1338(a). The relief of declaratory judgment is proper under 28 U.S.C. § 2201.
- 63. A true and actual controversy exists regarding the validity, enforceability, and non-infringement of the claims of the '212 patent, the '382 patent, the '381 patent, and the '292 patent asserted by Solae against ADM.
- 64. On April 26, 2004, Plaintiff filed a Third Amended Complaint (Civil Action Number 03 CV 01595 RWS, now Civil Action No. 4:03CV00732 HEA) against ADM alleging, inter alia, that certain products made, used and/or sold by ADM infringe the '212 patent, the '382 patent, the '381 patent and/or the '292 patent.
 - 65. Venue is proper in this District pursuant to 28 U.S.C. §§ 1391(b) and (c).

COUNT I - Invalidity of the '212 patent

- 66. ADM realleges the allegations set forth above in counterclaim paragraphs 59-65.
- 67. Each claim of the '212 patent is invalid under 35 U.S.C. § 102 as lacking novelty, is invalid under 35 U.S.C. § 103 because the differences between the subject matter claimed and the prior art are such that it would have been obvious at the time the invention was made to a person having ordinary skill in the art to which the subject matter pertains; and/or is invalid for failure to comply with the requirements of 35 U.S.C. § 112.

COUNT II - Invalidity of the '382 patent

- 68. ADM realleges the allegations set forth above in counterclaim paragraphs 59-65 and 67.
- 69. Each claim of the '382 patent is invalid under 35 U.S.C. § 102 as lacking novelty, is invalid under 35 U.S.C. § 103 because the differences between the subject matter claimed and the prior art are such that it would have been obvious at the time the invention was made to a

person having ordinary skill in the art to which the subject matter pertains; and/or is invalid for failure to comply with the requirements of 35 U.S.C. § 112.

COUNT III - Invalidity of the '381 patent

- 70. ADM realleges the allegations set forth above in counterclaim paragraphs 59-65, 67 and 69.
- 71. Each claim of the '381 patent is invalid under 35 U.S.C. § 102 as lacking novelty, is invalid under 35 U.S.C. § 103 because the differences between the subject matter claimed and the prior art are such that it would have been obvious at the time the invention was made to a person having ordinary skill in the art to which the subject matter pertains; and/or is invalid for failure to comply with the requirements of 35 U.S.C. § 112.

COUNT IV - Invalidity of the '292 patent

- 72. ADM realleges the allegations set forth above in counterclaim paragraphs 59-65, 67, 69 and 71.
- 73. Each claim of the '292 patent is invalid under 35 U.S.C. § 102 as lacking novelty; is invalid under 35 U.S.C. § 103 because the differences between the subject matter claimed and the prior art are such that it would have been obvious at the time the invention was made to a person having ordinary skill in the art to which the subject matter pertains; and/or is invalid for failure to comply with the requirements of 35 U.S.C. § 112.

COUNT V - Non-Infringement of the '212 patent

- 74. ADM realleges the allegations set forth above in counterclaim paragraphs 59-65, 67, 69, 71 and 73.
 - 75. ADM does not now infringe, nor has it ever infringed, the '212 patent.

COUNT VI - Non-Infringement of the '382 patent

- 76. ADM realleges the allegations set forth above in counterclaim paragraphs 59-65, 67, 69, 71, 73 and 75.
 - 77. ADM does not now infringe, nor has it ever infringed, the '382 patent.

COUNT VII - Non-Infringement of the '381 patent

- 78. ADM realleges the allegations set forth above in counterclaim paragraphs 59-65, 67, 69, 71, 73, 75 and 77.
 - 79. ADM does not now infringe, nor has it ever infringed, the '381 patent.

COUNT VIII - Non-Infringement of the '292 patent

- 80. ADM realleges the allegations set forth above in counterclaim paragraphs 59-65, 67, 69, 71, 73, 75, 77 and 79.
 - 81. ADM does not now infringe, nor has it ever infringed, the '292 patent.

Prayer for Relief

WHEREFORE, counterclaim Plaintiff ADM requests that this Court:

- A. Enter judgment declaring that U.S. Patent No. 6,642,212 is invalid and unenforceable.
- B. Enter judgment declaring that U.S. Patent No. 6,664,382 is invalid and unenforceable.
- C. Enter judgment declaring that U.S. Patent No. 6,680,381 is invalid and unenforceable.

- D. Enter judgment declaring that U.S. Patent No. 6,706,292 is invalid and unenforceable.
- E. Enter judgment declaring that ADM does not infringe, nor has it ever infringed, U.S. Patent No. 6,642,212.
- F. Enter judgment declaring that ADM does not infringe, nor has it ever infringed, U.S. Patent No. 6,664,382.
- G. Enter judgment declaring that ADM does not infringe, nor has it ever infringed, U.S. Patent No. 6,680,381.
- H. Enter judgment declaring that ADM does not infringe, nor has it ever infringed, U.S. Patent No. 6,706,292
- I. Enter judgment pursuant to 35 U.S.C. § 285 awarding ADM all of its reasonable attorney fees, costs and expenses incurred in defending this action because this is an exceptional case.
- J. Award such other and further relief as may be just and proper under the circumstances.

Demand for Jury Trial

ADM demands a trial by jury of all issues so triable as a matter of right.

Respectfully submitted,

SONNENSCHEIN NATH & ROSENTHAL LLP

/s/ Kirill Y. Abramov

Stephen H. Rovak, #4218
Michael T. Marrah, #49916
Kirill Y. Abramov, #109139
One Metropolitan Square, Suite 3000
St. Louis, MO 63102
314.241.1800 Telephone
314.259.5959 Facsimile

David K.S. Cornwell
Timothy J. Shea, Jr.
Donald R. Banowit
Sterne, Kessler, Goldstein & Fox P.L.L.C.
1100 New York Avenue, N.W.
Washington, D.C. 20005
Telephone: (202) 371-2600
Facsimile: (202) 371-2540

Of Counsel for Defendant Archer Daniels Midland Company

CERTIFICATE OF SERVICE

The undersigned hereby certifies that a true and accurate copy hereof was sent via the Court's Electronic Case Filing System on this 11th day of May, 2004 to:

Andrew Rothschild
C. David Goerisch
Michael J. Hickey
LEWIS RICE & FINGERSH, L.C.
500 North Broadway, Ste. 2000
St. Louis, MO 63102

Patricia A. Carson Thomas F. Fleming KAYE SCHOLER LLP 425 Park Avenue New York, NY 10022

/s/ Kirill Y. Abramov_____

EASTERN	TATES DISTRICT COURT I DISTRICT OF MISSOURI ASTERN DIVISION
Plaintiff,	
v.) Civil Action No. 4:03CV00732 HEA
ARCHER DANIELS MIDLAND COMPANY))) JURY TRIAL DEMANDED

and

AMERIFIT NUTRITION, INC.

Defendants.

DEFENDANT, AMERIFIT NUTRITION, INC.'S, ANSWER AND COUNTER CLAIMS TO PLAINTIFF'S THIRD SUPPLEMENTAL COMPLAINT

Defendant, AMERIFIT NUTRITION, INC. ("Amerifit"), by and through its attorneys, hereby answers the claims of the Plaintiff, SOLAE, L.L.C., ("Solae"), presented in Plaintiff's Third Supplemental Complaint for Patent Infringement and Jury Demand ("Complaint"), as follows:

- 1. Amerifit does not have sufficient information to either admit or deny the allegations, contained in paragraph one, concerning the legal basis for this Complaint, and therefore denies same and leaves Plaintiff to its proof thereof.
- 2. Amerifit admits that it is subject to personal jurisdiction in this district. Amerifit denies that it has committed infringing acts in this district.
- 3. Amerifit admits that this Court has exclusive jurisdiction over the subject matter of this action.
 - 4. Amerifit admits that venue is proper in this Court.

- 5. Amerifit does not have sufficient information to either admit or deny the allegations, contained in paragraph five, concerning the place of incorporation and principal place of business of Solae set forth therein, and therefore denies same and leaves Plaintiff to its proof thereof.
 - 6. Amerifit admits the allegations contained in paragraph six of the Complaint.
 - 7. Amerifit admits the allegations contained in paragraph seven of the Complaint.
- 8. Amerifit does not have sufficient information to either admit or deny the allegations, contained in paragraph eight and therefore denies same and leaves Plaintiff to its proof thereof.
- 9. Amerifit does not have sufficient information to either admit or deny the allegations, contained in paragraph nine and therefore denies same and leaves Plaintiff to its proof thereof.
- 10. Amerifit does not have sufficient information to either admit or deny the allegations, contained in paragraph ten and therefore denies same and leaves Plaintiff to its proof thereof.
- 11. Amerifit does not have sufficient information to either admit or deny the allegations, contained in paragraph eleven and therefore denies same and leaves Plaintiff to its proof thereof.
- 12. Amerifit does not have sufficient information to either admit or deny the allegations, contained in paragraph twelve and therefore denies same and leaves Plaintiff to its proof thereof.
 - 13. Amerifit states that the document speaks for itself.
 - 14. Amerifit denies the allegations contained in paragraph fourteen of the Complaint.
 - 15. Amerifit denies the allegations contained in paragraph fifteen of the Complaint.
- 16. To the extent paragraph sixteen of the Complaint alleges that ADM's Novasoy contains more than trace amounts of each of the soy isoflavones daidzein, genistein, and

glycitein, their glucosides, and acetyl and malonyol esters, Amerifit denies the allegations contained in paragraph sixteen of the Complaint.

- 17. Amerifit admits the allegations contained in paragraph seventeen of the Complaint.
 - 18. Amerifit denies the allegations contained in paragraph eighteen of the Complaint.
 - 19. Amerifit denies the allegations contained in paragraph nineteen of the Complaint.
- 20. To the extent paragraph twenty of the Complaint alleges that Amerifit's Estroven contains more than trace amounts of each of the soy isoflavones daidzein, genistein, and glycitein, their glucosides, and acetyl and malonyol esters, Amerifit denies the allegations contained in paragraph twenty of the Complaint.
- 21. Amerifit admits the allegations contained in paragraph twenty-one of the Complaint.
 - 22. Amerifit states that the document speaks for itself.
- 23. Amerifit denies the allegations contained in paragraph twenty-three of the Complaint.
- 24. Amerifit denies the allegations contained in paragraph twenty-four of the Complaint.
- 25. Amerifit denies the allegations contained in paragraph twenty-five of the Complaint.
 - 26. Amerifit states that the document speaks for itself.
- 27. Amerifit denies the allegations contained in paragraph twenty-seven of the Complaint.
- 28. Amerifit denies the allegations contained in paragraph twenty-eight of the Complaint.
- 29. Amerifit denies the allegations contained in paragraph twenty-nine of the Complaint.
 - 30. Amerifit states that the document speaks for itself.

- 31. Amerifit denies the allegations contained in paragraph thirty-one of the Complaint.
- 32. Amerifit denies the allegations contained in paragraph thirty-two of the Complaint.
- 33. Amerifit denies the allegations contained in paragraph thirty-three of the Complaint.
 - 34. Amerifit repeats the answers set forth above in paragraphs 1-33.
- 35. Amerifit denies the allegations contained in paragraph thirty-five of the Complaint.
 - 36. Amerifit denies the allegations contained in paragraph thirty-six of the Complaint.
- 37. Amerifit denies the allegations contained in paragraph thirty-seven of the Complaint.
- 38. Amerifit denies the allegations contained in paragraph thirty-eight of the Complaint.
- 39. Amerifit denies the allegations contained in paragraph thirty-nine of the Complaint.
 - 40. Amerifit denies the allegations contained in paragraph forty of the Complaint.
 - 41. Amerifit denies the allegations contained in paragraph forty-one of the Complaint.
- 42. Amerifit denies the allegations contained in paragraph forty-two of the Complaint.
- 43. Amerifit denies the allegations contained in paragraph forty-three of the Complaint.
- 44. Amerifit denies the allegations contained in paragraph forty-four of the Complaint.
- 45. Amerifit denies the allegations contained in paragraph forty-five of the Complaint.
 - 46. Amerifit denies the allegations contained in paragraph forty-six of the Complaint.

- 47. Amerifit denies the allegations contained in paragraph forty-seven of the Complaint.
- 48. Amerifit denies the allegations contained in paragraph forty-eight of the Complaint.
- 49. Amerifit denies the allegations contained in paragraph forty-nine of the Complaint.
 - 50. Amerifit denies the allegations contained in paragraph fifty of the Complaint.

Affirmative Defenses

Invalidity

- 51. Each claim of the '212 patent is invalid under 35 U.S.C. § 102 as lacking novelty, is invalid under 35 U.S.C. § 103 because the differences between the subject matter claimed and the prior art are such that it would have been obvious at the time the invention was made to a person having ordinary skill in the art to which the subject matter pertains; and/or is invalid for failure to comply with the requirements of 35 U.S.C. § 112.
- 52. Each claim of the '382 patent is invalid under 35 U.S.C. § 102 as lacking novelty; is invalid under 35 U.S.C. § 103 because the differences between the subject matter claimed and the prior art are such that it would have been obvious at the time the invention was made to a person having ordinary skill in the art to which the subject matter pertains; and/or is invalid for failure to comply with the requirements of 35 U.S.C. § 112.
- 53. Each claim of the '381 patent is invalid under 35 U.S.C. § 102 as lacking novelty, is invalid under 35 U.S.C. § 103 because the differences between the subject matter claimed and the prior art are such that it would have been obvious at the time the invention was made to a person having ordinary skill in the art to which the subject matter pertains; and/or is invalid for failure to comply with the requirements of 35 U.S.C. § 112.

54. Each claim of the '292 patent is invalid under 35 U.S.C. § 102 as lacking novelty; is invalid under 35 U.S.C. § 103 because the differences between the subject matter claimed and the prior art are such that it would have been obvious at the time the invention was made to a person having ordinary skill in the art to which the subject matter pertains; and/or is invalid for failure to comply with the requirements of 35 U.S.C. § 112.

Non-Infringement

- 55. Amerifit does not now infringe, nor has it ever infringed, the '212 patent.
- 56. Amerifit does not now infringe, nor has it ever infringed, the '382 patent.
- 57. Amerifit does not now infringe, nor has it ever infringed, the '381 patent.
- 58. Amerifit does not now infringe, nor has it ever infringed, the '292 patent.

Counter-Claim

Declaratory Judgment

- 59. On information and belief, Plaintiff and counterclaim Defendant, Solae, L.L.C., is a Delaware limited liability corporation, having a principal place of business in St. Louis. Missouri.
- 60. Defendant and counterclaim Plaintiff, Amerifit Nutrition, Inc., is a corporation organized under the laws of the state of Delaware with its principal place of business at 166 Highland Park Drive, Bloomfield, Connecticut 06002.
- 61. This is an action for declaratory judgment of invalidity, unenforceability and non-infringement of U.S. Patent No. 6,642,212 ("the '212 patent"); U.S. Patent No. 6,664,382 ("the '382 patent"); U.S. Patent No. 6,680,381 ("the '381 patent"); and U.S. Patent No. 6,706,292 ("the '292 patent") under the patent laws of the United States, Title 35 United States Code.

- 62. Exclusive jurisdiction over these counterclaims is conferred upon this Court pursuant to 28 U.S.C. § 1338(a). The relief of declaratory judgment is proper under 28 U.S.C. § 2201.
- 63. A true and actual controversy exists regarding the validity, enforceability, and non-infringement of the claims of the '212 patent, the '382 patent, the '381 patent, and the '292 patent asserted by Solae against Amerifit.
- 64. On April 26, 2004, Plaintiff filed a Third Amended Complaint (Civil Action Number 03 CV 01595 RWS, now Civil Action No. 4:03CV00732 HEA) against ADM alleging, inter alia, that certain products made, used and/or sold by ADM infringe the '212 patent, the '382 patent, the '381 patent and/or the '292 patent.
 - 65. Venue is proper in this District pursuant to 28 U.S.C. §§ 1391(b) and (c).

COUNT I - Invalidity of the '212 patent

- 66. Amerifit realleges the allegations set forth above in counterclaim paragraphs 59-65.
- 67. Each claim of the '212 patent is invalid under 35 U.S.C. § 102 as lacking novelty, is invalid under 35 U.S.C. § 103 because the differences between the subject matter claimed and the prior art are such that it would have been obvious at the time the invention was made to a person having ordinary skill in the art to which the subject matter pertains; and/or is invalid for failure to comply with the requirements of 35 U.S.C. § 112.

COUNT II - Invalidity of the '382 patent

- 68. Amerifit realleges the allegations set forth above in counterclaim paragraphs 59-65 and 67.
- 69. Each claim of the '382 patent is invalid under 35 U.S.C. § 102 as lacking novelty; is invalid under 35 U.S.C. § 103 because the differences between the subject matter claimed and the prior art are such that it would have been obvious at the time the invention was made to a

person having ordinary skill in the art to which the subject matter pertains; and/or is invalid for failure to comply with the requirements of 35 U.S.C. § 112.

COUNT III - Invalidity of the '381 patent

- 70. Amerifit realleges the allegations set forth above in counterclaim paragraphs 59-65, 67 and 69.
- 71. Each claim of the '381 patent is invalid under 35 U.S.C. § 102 as lacking novelty, is invalid under 35 U.S.C. § 103 because the differences between the subject matter claimed and the prior art are such that it would have been obvious at the time the invention was made to a person having ordinary skill in the art to which the subject matter pertains; and/or is invalid for failure to comply with the requirements of 35 U.S.C. § 112.

COUNT IV - Invalidity of the '292 patent

- 72. Amerifit realleges the allegations set forth above in counterclaim paragraphs 59-65, 67, 69 and 71.
- 73. Each claim of the '292 patent is invalid under 35 U.S.C. § 102 as lacking novelty; is invalid under 35 U.S.C. § 103 because the differences between the subject matter claimed and the prior art are such that it would have been obvious at the time the invention was made to a person having ordinary skill in the art to which the subject matter pertains; and/or is invalid for failure to comply with the requirements of 35 U.S.C. § 112.

COUNT V - Non-Infringement of the '212 patent

- 74. Amerifit realleges the allegations set forth above in counterclaim paragraphs 59-65, 67, 69, 71 and 73.
 - 75. Amerifit does not now infringe, nor has it ever infringed, the '212 patent.

COUNT VI - Non-Infringement of the '382 patent

- 76. Amerifit realleges the allegations set forth above in counterclaim paragraphs 59-65, 67, 69, 71, 73 and 75.
 - 77. Amerifit does not now infringe, nor has it ever infringed, the '382 patent.

COUNT VII - Non-Infringement of the '381 patent

- 78. Amerifit realleges the allegations set forth above in counterclaim paragraphs 59-65, 67, 69, 71, 73, 75 and 77.
 - 79. Amerifit does not now infringe, nor has it ever infringed, the '381 patent.

COUNT VIII - Non-Infringement of the '292 patent

- 80. Amerifit realleges the allegations set forth above in counterclaim paragraphs 59-65, 67, 69, 71, 73, 75, 77 and 79.
 - 81. Amerifit does not now infringe, nor has it ever infringed, the '292 patent.

Prayer for Relief

WHEREFORE, counterclaim Plaintiff Amerifit requests that this Court:

- A. Enter judgment declaring that U.S. Patent No. 6,642,212 is invalid and unenforceable.
- B. Enter judgment declaring that U.S. Patent No. 6,664,382 is invalid and unenforceable.
- C. Enter judgment declaring that U.S. Patent No. 6,680,381 is invalid and unenforceable.

- D. Enter judgment declaring that U.S. Patent No. 6,706,292 is invalid and unenforceable.
- E. Enter judgment declaring that Amerifit does not infringe, nor has it ever infringed, U.S. Patent No. 6,642,212.
- F. Enter judgment declaring that Amerifit does not infringe, nor has it ever infringed, U.S. Patent No. 6,664,382.
- G. Enter judgment declaring that Amerifit does not infringe, nor has it ever infringed, U.S. Patent No. 6,680,381.
- H. Enter judgment declaring that Amerifit does not infringe, nor has it ever infringed, U.S. Patent No. 6,706,292
- I. Enter judgment pursuant to 35 U.S.C. § 285 awarding Amerifit all of its reasonable attorney fees, costs and expenses incurred in defending this action because this is an exceptional case.
- J. Award such other and further relief as may be just and proper under the circumstances.

Demand for Jury Trial

Amerifit demands a trial by jury of all issues so triable as a matter of right.

Respectfully submitted,

SONNENSCHEIN NATH & ROSENTHAL LLP

/s/ Kirill Y. Abramov

Stephen H. Rovak, #4218
Michael T. Marrah, #49916
Kirill Y. Abramov, #109139
One Metropolitan Square, Suite 3000
St. Louis, MO 63102
314.241.1800 Telephone
314.259.5959 Facsimile

David K.S. Cornwell
Timothy J. Shea, Jr.
Donald R. Banowit
Sterne, Kessler, Goldstein & Fox P.L.L.C.
1100 New York Avenue, N.W.
Washington, D.C. 20005
Telephone: (202) 371-2600
Facsimile: (202) 371-2540

Of Counsel for Defendant Archer Daniels Midland Company

CERTIFICATE OF SERVICE

The undersigned hereby certifies that a true and accurate copy hereof was sent via the Court's Electronic Case Filing System on this 11th day of May, 2004 to:

Andrew Rothschild
C. David Goerisch
Michael J. Hickey
LEWIS RICE & FINGERSH, L.C.
500 North Broadway, Ste. 2000
St. Louis, MO 63102

Patricia A. Carson Thomas F. Fleming KAYE SCHOLER LLP 425 Park Avenue New York, NY 10022

/s/ Kirill Y. Abramov_____

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF MISSOURI EASTERN DIVISION

SOLAE, LLC,)
Plaintiff,) \
ARCHER DANIELS MIDLAND COMPANY and AMERIFIT NUTRITION, INC.	CIVIL ACTION No.:4:03CV01595RWS (originally 4:03CV01595RWS)
Defendants.) JURY TRIAL DEMANDED)))

PLAINTIFF'S REPLY TO ANSWER AND COUNTERCLAIMS OF DEFENDANT AMERIFIT NUTRITION, INC.

Plaintiff Solae, LLC ("Solae" or "Plaintiff"), by and through its attorneys, replies to Defendant, Amerifit Nutrition Inc.'s Answer and Counterclaims to Plaintiff's Third Supplemental Complaint ("Amerifit's Answer"), as follows:

1. Paragraphs 1-50 of Amerifit's Answer are responses to the allegations in Solae's Third Supplemental Complaint to which no response is necessary or appropriate.

Amerifit's Affirmative Defenses

Invalidity

- 2. Solae denies the allegations contained in paragraph 51 of Amerifit's Answer.
- 3. Solae denies the allegations contained in paragraph 52 of Amerifit's Answer.
- 4. Solae denies the allegations contained in paragraph 53 of Amerifit's Answer.
- 5. Solae denies the allegations contained in paragraph 54 of Amerifit's Answer.

Non-Infringement

- 6. Solae denies the allegations contained in paragraph 55 of Amerifit's Answer.
- 7. Solae denies the allegations contained in paragraph 56 of Amerifit's Answer.
- 8. Solae denies the allegations contained in paragraph 57 of Amerifit's Answer.
- 9. Solae denies the allegations contained in paragraph 58 of Amerifit's Answer.

Counter-Claim

Declaratory Judgment

- 10. Solae admits the allegations contained in paragraph 59 of Amerifit's Answer.
- 11. Solae does not have sufficient information to admit or deny the allegations contained in paragraph 60 of Amerifit's Answer.
- 12. Solae admits that paragraph 61 of Amerifit's Answer purports to state a counterclaim for a declaratory judgment of invalidity, unenforceability and non-infringement of U.S. Patent No. 6,642,212 ("the '212 patent"); U.S. Patent No. 6,664,382 ("the '382 patent"); U.S. Patent No. 6,680,381 ("the '381 patent"); and U.S. Patent No. 6,706,292 ("the '292 patent") under the patent laws of the United States, Title 35 United States Code.
 - 13. Solae admits the allegations contained in paragraph 62 of Amerifit's Answer.
 - 14. Solae admits the allegations contained in paragraph 63 of Amerifit's Answer.
 - 15. Solae admits the allegations contained in paragraph 64 of Amerifit's Answer.
 - 16. Solae admits the allegations contained in paragraph 65 of Amerifit's Answer.

COUNT I - Invalidity of the '212 patent

- 17. Paragraph 66 of Amerifit's Answer realleges the allegations set forth in paragraphs 59-65 in Amerifit's Answer. In response, Solae realleges and incorporates by reference its responses to paragraphs 59-65 in Amerifit's Answer, as set forth in paragraphs 10-16 above.
 - 18. Solae denies the allegations contained in paragraph 67 of Amerifit's Answer.

COUNT II - Invalidity of the '382 patent

- 19. Paragraph 68 of Amerifit's Answer realleges the allegations set forth in paragraphs 59-65 and 67 of Amerifit's Answer. In response, Solae realleges and incorporates by reference its responses to paragraphs 59-65 and 67 in Amerifit's Answer, as set forth in paragraphs 10-16 and 18 above.
 - 20. Solae denies the allegations contained in paragraph 69 of Amerifit's Answer.

COUNT III - Invalidity of the '381 patent

- 21. Paragraph 70 of Amerifit's Answer realleges the allegations set forth in paragraphs 59-65, 67 and 69 of Amerifit's Answer. In response, Solae realleges and incorporates by reference its responses to paragraphs 59-65, 67 and 69 in Amerifit's Answer, as set forth in paragraphs 10-16, 18 and 20 above.
 - 22. Solae denies the allegations contained in paragraph 71 of Amerifit's Answer.

COUNT IV - Invalidity of the '292 patent

23. Paragraph 72 of Amerifit's Answer realleges the allegations set forth in paragraphs 59-65, 67, 69 and 71 of Amerifit's Answer. In response, Solae realleges and incorporates by reference its responses to paragraphs 59-65, 67, 69 and 71 in Amerifit's Answer, as set forth in paragraphs 10-16, 18, 20 and 22 above.

24. Solae denies the allegations contained in paragraph 73 of Amerifit's Answer.

COUNT V - Non-Infringement of the '212 patent

- 25. Paragraph 74 of Amerifit's Answer realleges the allegations set forth in paragraphs 59-65, 67, 69, 71 and 73 of Amerifit's Answer. In response, Solae realleges and incorporates by reference its responses to paragraphs 59-65, 67, 69, 71 and 73 in Amerifit's Answer, as set forth in paragraphs 10-16, 18, 20, 22 and 24 above.
 - 26. Solae denies the allegations contained in paragraph 75 of Amerifit's Answer.

COUNT VI - Non-Infringement of the '382 patent

- 27. Paragraph 76 of Amerifit's Answer realleges the allegations set forth in paragraphs 59-65, 67, 69, 71, 73 and 75 of Amerifit's Answer. In response, Solae realleges and incorporates by reference its responses to paragraphs 59-65, 67, 69, 71, 73 and 75 in Amerifit's Answer, as set forth in paragraphs 10-16, 18, 20, 22, 24 and 26 above.
 - 28. Solae denies the allegations contained in paragraph 77 of Amerifit's Answer.

COUNT VII - Non-Infringement of the '381 patent

- 29. Paragraph 78 of Amerifit's Answer realleges the allegations set forth in paragraphs 59-65, 67, 69, 71, 73, 75 and 77 of Amerifit's Answer. In response, Solae realleges and incorporates by reference its responses to paragraphs 59-65, 67, 69, 71, 73, 75 and 77 in Amerifit's Answer, as set forth in paragraphs 10-16, 18, 20, 22, 24, 26 and 28 above.
 - 30. Solae denies the allegations contained in paragraph 79 of Amerifit's Answer.

COUNT VIII - Non-Infringement of the '292 patent

31. Paragraph 80 of Amerifit's Answer realleges the allegations set forth in paragraphs 59-65, 67, 69, 71, 73, 75, 77 and 79 of Amerifit's Answer. In response, Solae realleges and incorporates by reference its responses to paragraphs 59-65, 67, 69, 71, 73, 75, 77

and 79 in Amerifit's Answer, as set forth in paragraphs 10-16, 18, 20, 22, 24, 26, 28 and 30 above.

32. Solae denies the allegations contained in paragraph 81 of Amerifit's Answer.

WHEREFORE, Plaintiff Solae requests judgment dismissing Defendant Amerifit's counterclaims in all respects, and for such other and further relief as the Court deems just and proper.

DEMAND FOR A JURY TRIAL

Plaintiff hereby demands trial by jury of all issues so triable in this action.

Respectfully Submitted,

LEWIS, RICE & FINGERSH, L.C.

Dated: June 1, 2004

By: /s/ C. David Goerisch

Andrew Rothschild, #4214
C. David Goerisch, #77207
Michael J. Hickey, #101931
500 North Broadway, Suite 2000
St. Louis, Missouri 63102
(314) 444-7600 - Telephone
(314) 241-6056 - Facsimile
E-mail: arothschild@lewisrice.com
dgoerisch@lewisrice.com
mhickey@lewisrice.com

Patricia A. Carson
Thomas F. Fleming
KAYE SCHOLER LLP

425 Park Avenue New York, NY 10022 Telephone: (212) 836-8000 Facsimile: (212)836-8689

E-mail: pcarson@kayescholer.com tfleming@kayescholer.com

CERTIFICATE OF SERVICE

The undersigned hereby certifies that on this 1st day of June, 2004, a true and accurate copy of the foregoing was filed electronically with the Clerk of Court to be served by operation of the Court's electronic filing system upon the following persons:

Stephen H. Rovak
Michael T. Marrah
Kirill Y. Abramov
Sonnenschein, Nath & Rosenthal LLP
One Metropolitan Square, Suite 3000
St. Louis, MO 63102

David K.S. Cornwell
Timothy J. Shea, Jr.
Donald R. Banowit
Sterne, Kessler, Goldstein & Fox, P.L.L.C.
1100 New York Avenue, N.W.
Washington, DC 20005

/s/ C. David Goerisch



UNITED STATES DISTRICT COURT EASTERN DISTRICT OF MISSOURI EASTERN DIVISION

SOLAE, LLC,	
Plaintiff,))
ARCHER DANIELS MIDLAND COMPANY and AMERIFIT NUTRITION, INC.	CIVIL ACTION No.:4:03CV00732 HEA (originally 4:03CV01595RWS)
Defendants.	 JURY TRIAL DEMANDED)) .)

PLAINTIFF'S REPLY TO ANSWER AND COUNTERCLAIMS OF DEFENDANT ARCHER DANIELS MIDLAND COMPANY

Plaintiff Solae, LLC ("Solae" or "Plaintiff"), by and through its attorneys, replies to Defendant, Archer Daniels Midland Company's, Answer and Counterclaims to Plaintiff's Third Supplemental Complaint ("ADM's Answer"), as follows:

1. Paragraphs 1-50 of ADM's Answer are responses to the allegations in Solae's Third Supplemental Complaint to which no response is necessary or appropriate.

ADM's Affirmative Defenses

Invalidity

- 2. Solae denies the allegations contained in paragraph 51 of ADM's Answer.
- 3. Solae denies the allegations contained in paragraph 52 of ADM's Answer.
- 4. Solae denies the allegations contained in paragraph 53 of ADM's Answer.
- 5. Solae denies the allegations contained in paragraph 54 of ADM's Answer.

Non-Infringement

- 6. Solae denies the allegations contained in paragraph 55 of ADM's Answer.
- 7. Solae denies the allegations contained in paragraph 56 of ADM's Answer.
- 8. Solae denies the allegations contained in paragraph 57 of ADM's Answer.
- 9. Solae denies the allegations contained in paragraph 58 of ADM's Answer.

Counter-Claim

Declaratory Judgment

- 10. Solae admits the allegations contained in paragraph 59 of ADM's Answer.
- 11. Solae does not have sufficient information to admit or deny the allegations contained in paragraph 60 of ADM's Answer.
- 12. Solae admits that paragraph 61 of ADM's Answer purports to state a counterclaim for a declaratory judgment of invalidity, unenforceability and non-infringement of U.S. Patent No. 6,642,212 ("the '212 patent"); U.S. Patent No. 6,664,382 ("the '382 patent"); U.S. Patent No. 6,680,381 ("the '381 patent"); and U.S. Patent No. 6,706,292 ("the '292 patent") under the patent laws of the United States, Title 35 United States Code.
 - 13. Solae admits the allegations contained in paragraph 62 of ADM's Answer.
 - 14. Solae admits the allegations contained in paragraph 63 of ADM's Answer.
 - 15. Solae admits the allegations contained in paragraph 64 of ADM's Answer.
 - 16. Solae admits the allegations contained in paragraph 65 of ADM's Answer.

COUNT I - Invalidity of the '212 patent

- 17. Paragraph 66 of ADM's Answer realleges the allegations set forth in paragraphs 59-65 in ADM's Answer. In response, Solae realleges and incorporates by reference its responses to paragraphs 59-65 in ADM's Answer, as set forth in paragraphs 10-16 above.
 - 18. Solae denies the allegations contained in paragraph 67 of ADM's Answer.

COUNT II - Invalidity of the '382 patent

- 19. Paragraph 68 of ADM's Answer realleges the allegations set forth in paragraphs 59-65 and 67 of ADM's Answer. In response, Solae realleges and incorporates by reference its responses to paragraphs 59-65 and 67 in ADM's Answer, as set forth in paragraphs 10-16 and 18 above.
 - 20. Solae denies the allegations contained in paragraph 69 of ADM's Answer.

COUNT III - Invalidity of the '381 patent

- 21. Paragraph 70 of ADM's Answer realleges the allegations set forth in paragraphs 59-65, 67 and 69 of ADM's Answer. In response, Solae realleges and incorporates by reference its responses to paragraphs 59-65, 67 and 69 in ADM's Answer, as set forth in paragraphs 10-16, 18 and 20 above.
 - 22. Solae denies the allegations contained in paragraph 71 of ADM's Answer.

COUNT IV - Invalidity of the '292 patent

- 23. Paragraph 72 of ADM's Answer realleges the allegations set forth in paragraphs 59-65, 67, 69 and 71 of ADM's Answer. In response, Solae realleges and incorporates by reference its responses to paragraphs 59-65, 67, 69 and 71 in ADM's Answer, as set forth in paragraphs 10-16, 18, 20 and 22 above.
 - 24. Solae denies the allegations contained in paragraph 73 of ADM's Answer.

COUNT V - Non-Infringement of the '212 patent

- 25. Paragraph 74 of ADM's Answer realleges the allegations set forth in paragraphs 59-65, 67, 69, 71 and 73 of ADM's Answer. In response, Solae realleges and incorporates by reference its responses to paragraphs 59-65, 67, 69, 71 and 73 in ADM's Answer, as set forth in paragraphs 10-16, 18, 20, 22 and 24 above.
 - 26. Solae denies the allegations contained in paragraph 75 of ADM's Answer.

COUNT VI - Non-Infringement of the '382 patent

- 27. Paragraph 76 of ADM's Answer realleges the allegations set forth in paragraphs 59-65, 67, 69, 71, 73 and 75 of ADM's Answer. In response, Solae realleges and incorporates by reference its responses to paragraphs 59-65, 67, 69, 71, 73 and 75 in ADM's Answer, as set forth in paragraphs 10-16, 18, 20, 22, 24 and 26 above.
 - 28. Solae denies the allegations contained in paragraph 77 of ADM's Answer.

COUNT VII - Non-Infringement of the '381 patent

- 29. Paragraph 78 of ADM's Answer realleges the allegations set forth in paragraphs 59-65, 67, 69, 71, 73, 75 and 77 of ADM's Answer. In response, Solae realleges and incorporates by reference its responses to paragraphs 59-65, 67, 69, 71, 73, 75 and 77 in ADM's Answer, as set forth in paragraphs 10-16, 18, 20, 22, 24, 26 and 28 above.
 - 30. Solae denies the allegations contained in paragraph 79 of ADM's Answer.

COUNT VIII - Non-Infringement of the '292 patent

31. Paragraph 80 of ADM's Answer realleges the allegations set forth in paragraphs 59-65, 67, 69, 71, 73, 75, 77 and 79 of ADM's Answer. In response, Solae realleges and incorporates by reference its responses to paragraphs 59-65, 67, 69, 71, 73, 75, 77 and 79 in ADM's Answer, as set forth in paragraphs 10-16, 18, 20, 22, 24, 26, 28 and 30 above.

32. Solae denies the allegations contained in paragraph 81 of ADM's Answer.

WHEREFORE, Plaintiff Solae requests judgment dismissing Defendant ADM's counterclaims in all respects, and for such other and further relief as the Court deems just and proper.

DEMAND FOR A JURY TRIAL

Plaintiff hereby demands trial by jury of all issues so triable in this action.

Respectfully Submitted,

LEWIS, RICE & FINGERSH, L.C.

Dated: June 1, 2004

By: /s/ C. David Goerisch
Andrew Rothschild, #4214
C. David Goerisch, #77207
Michael J. Hickey, #101931
500 North Broadway, Suite 2000
St. Louis, Missouri 63102
(314) 444-7600 - Telephone
(314) 241-6056 - Facsimile
E-mail: arothschild@lewisrice.com
dgoerisch@lewisrice.com

mhickey@lewisrice.com

Patricia A. Carson
Thomas F. Fleming
KAYE SCHOLER LLP
425 Park Avenue
New York, NY 10022

Telephone: (212) 836-8000 Facsimile: (212)836-8689

E-mail: pcarson@kayescholer.com tfleming@kayescholer.com

CERTIFICATE OF SERVICE

The undersigned hereby certifies that on this 1st day of June, 2004, a true and accurate copy of the foregoing was filed electronically with the Clerk of Court to be served by operation of the Court's electronic filing system upon the following persons:

Stephen H. Rovak
Michael T. Marrah
Kirill Y. Abramov
Sonnenschein, Nath & Rosenthal LLP
One Metropolitan Square, Suite 3000
St. Louis, MO 63102

David K.S. Cornwell
Timothy J. Shea, Jr.
Donald R. Banowit
Sterne, Kessler, Goldstein & Fox, P.L.L.C.
1100 New York Avenue, N.W.
Washington, DC 20005

/s/ C. David Goerisch

U.S. District Court Eastern District of Missouri (LIVE) (St. Louis) CIVIL DOCKET FOR CASE #: 4:03-cv-00732-HEA

Solae, L.L.C. v. Archer Daniels Co., et al Assigned to: Honorable Henry E. Autrey

Referred to: Demand: \$0

Lead Docket: <u>4:03-cv-00732-HEA</u>

Related Cases: None Case in other court: None

Cause: 28:1338 Patent Infringement

Date Filed: 06/03/03 Jury Demand: Both

Nature of Suit: 830 Patent Jurisdiction: Federal Question

Filing Date	#	Docket Text
08/26/2004	<u>96</u>	PROTECTIVE ORDER SEE ORDER FOR DETAILS. Signed by Judge Henry E. Autrey on 8/26/04. (MJM,) (Entered: 08/27/2004)
08/26/2004		Docket Text ORDER re 93 MOTION for Protective Order filed by Archer Daniels Midland Company; ORDERED Granted. Signed by Judge Henry E. Autrey on 8/26/04. (MJM,) (Entered: 08/27/2004)
08/25/2004	95	NOTICE of Appointment of Neutral Neutral name: Richard Sher Neutral selected by parties, notice of appointment and order of referral e-mailed to neutral Sher.(SAC,) (Entered: 08/25/2004)
08/23/2004	<u>94</u>	Designation of Neutral by parties and ADR Conference Report by Plaintiff Solae, L.L.C. Neutral: Richard P. Sher Date of Conference: October 4, 2004 time: 9:00 a.m. Location: Devereux Murphy, LLC (Goerisch, C.) (Entered: 08/23/2004)
08/20/2004		***REMARK Document 92 has been deleted from this record and refiled as document #93 (CEL,) (Entered: 08/20/2004)
08/20/2004	93	MOTION for Protective Order by Defendant Archer Daniels Midland Company, Counter Claimants Archer Daniels Midland Company, Archer Daniels Midland Company. (Attachments: # 1 Text of Proposed Order Stipulation And Order GoverningThe Protection And Exchange Of Confidential Material)(Abramov, Kirill) (Entered: 08/20/2004)
08/17/2004		Docket Text ORDER re 90 Consent MOTION for Extension of: Deadline to Advise Court of Mediator Selection and ADR Conference Schedule and to Extend Deadline to Complete Mediation filed by Solae, L.L.C.; ORDERED Granted ADR Completion Deadline due by 10/22/2004. ADR Conference Schedule due by 8/23/2004. Designation of Neutral due by 8/23/2004 Signed by

		Judge Henry E. Autrey on 8/17/04. (MJM,) (Entered: 08/18/2004)
08/16/2004	91	AMENDED CASE MANAGEMENT ORDER Motion to Join Parties/Amend Pleadings due by 9/27/2004. Discovery Completion due by 2/18/2005. Non-Dispositive Motions due by 3/1/2005. Dispositive Motions due by 5/3/2005. Opposition briefs shall be filed no later than 6/3/05 and any reply brief may be filed no later than 6/13/05. Jury Trial set for 8/15/2005 09:30 AM in Courtroom 10N before Honorable Henry E. Autrey. Pretrial Compliance due by 7/26/2005 Signed by Judge Henry E. Autrey on 8/16/04. (MJM,) (Entered: 08/17/2004)
08/16/2004		Docket Text ORDER re 89 Joint MOTION to Amend/Correct Case Management Order filed by Archer Daniels Midland Company, Solae, L.L.C.; ORDERED Granted. Signed by Judge Henry E. Autrey on 8/16/04. (MJM,) (Entered: 08/17/2004)
08/16/2004	90	Consent MOTION for Extension of: Deadline to Advise Court of Mediator Selection and ADR Conference Schedule and to Extend Deadline to Complete Mediation by Plaintiff Solae, L.L.C (Goerisch, C.) (Entered: 08/16/2004)
08/13/2004	<u>89</u>	Joint MOTION to Amend/Correct <i>Case Management Order</i> by Defendant Archer Daniels Midland Company, Counter Claimants Archer Daniels Midland Company, Archer Daniels Midland Company, Plaintiff Solae, L.L.C (Attachments: # 1 Text of Proposed Order Amended Case Management Order)(Abramov, Kirill) (Entered: 08/13/2004)
08/10/2004		Docket Text ORDER re <u>88</u> Consent MOTION for Extension of: Time to Advise Court of ADR Conference Schedule filed by Solae, L.L.C.; ORDERED Granted ADR Conference Schedule due by 8/16/2004. Designation of Neutral due by 8/16/2004 Signed by Judge Henry E. Autrey on 8/10/04. (MJM,) (Entered: 08/10/2004)
08/09/2004	<u>88</u>	Consent MOTION for Extension of: Time to Advise Court of ADR Conference Schedule by Plaintiff Solae, L.L.C (Goerisch, C.) (Entered: 08/09/2004)
07/22/2004	<u>87</u>	ORDER REFERRING CASE to Alternative Dispute Resolution. This case is referred to: Mediation Designation of Lead Counsel: Andrew Rothschild ADR Completion Deadline due by 9/19/2004. Designation of Neutral due by 8/9/2004. ADR Conference Schedule due by 8/9/2004. Signed by Judge Henry E. Autrey on 7/22/04. (MJM,) (Entered: 07/23/2004)
06/01/2004	<u>86</u>	ANSWER to Counterclaim of Defendant Amerifit Nutrition, Inc. by Solae, L.L.C(Goerisch, C.) (Entered: 06/01/2004)

06/01/2004	<u>85</u>	ANSWER to Counterclaim of Defendant Archer Daniels Midland Company by Solae, L.L.C(Goerisch, C.) (Entered: 06/01/2004)
05/11/2004	84	ANSWER to Complaint with Jury Demand, COUNTERCLAIM against plaintiff Solae, L.L.C. Jury DemandDemand, by Amerifit Nutrition, Inc(Abramov, Kirill) (Entered: 05/11/2004)
05/11/2004	<u>83</u>	ANSWER to Complaint with Jury Demand, COUNTERCLAIM against plaintiff Solae, L.L.C. Jury DemandDemand, by Archer Daniels Midland Company.(Abramov, Kirill) (Entered: 05/11/2004)
04/30/2004	<u>82</u>	ORDER Jury Trial set for 8/15/2005 09:30 AM in Courtroom 10N before Honorable Henry E. Autrey. Signed by Judge Henry E. Autrey on 4/30/04. (CLA,) (Entered: 04/30/2004)
04/28/2004	<u>81</u>	ORDER IT IS HEREBY ORDERED that cases 4:03CV732 HEA and 4:03CV1595 RWS are consolidated for all purposes. The parties shall file all further pleadings in case No. 4:03CV732 HEA only. IT IS FURTHER ORDERED that the Amended Case Management Order in Case Number 4:03CV732 HEA is modified by moving all deadlines set forth therein back 60 days. The trial in this matter shall remain June 6, 2005 Signed by Judge Henry E. Autrey on 4/28/04. (MJM,) (Entered: 04/28/2004)
04/26/2004	80	Joint MOTION to Consolidate Cases and Modify the Court's Amended Case Management Order Consolidate with higher case number 03-1595 by Defendants Amerifit Nutrition, Inc., Archer Daniels Midland Company, Counter Claimants Amerifit Nutrition, Inc., Archer Daniels Midland Company, Plaintiff Solae, L.L.C., Counter Defendants Solae, L.L.C., Solae, L.L.C (Goerisch, C.) (Entered: 04/26/2004)
04/21/2004	<u>79</u>	Plaintiff's ANSWER to Counterclaim of Defendant Amerifit Nutrition, Inc. by Solae, L.L.C(Goerisch, C.) (Entered: 04/21/2004)
04/21/2004	78	Plaintiff's ANSWER to Counterclaim of Defendant Archer Daniels Midland Company by Solae, L.L.C(Goerisch, C.) (Entered: 04/21/2004)
04/02/2004	77	AMENDED CASE MANAGEMENT ORDER This case is assigned to Track: 3 Motion to Join Parties due by 6/14/2004. Discovery Completion due by 12/20/2004. Non-Dispositive Motions due by 12/31/2004. ADR Future Reference 7/18/2004. Dispositive Motions due by 3/4/2005. Jury Trial set for 6/6/2005 09:30 AM in Courtroom 10N before Honorable Henry E. Autrey. Pretrial Compliance due by 5/17/2005 Signed by Judge Henry E. Autrey on 4/2/04. (CEL,) (Entered: 04/02/2004)
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04/01/2004	<u>76</u>	ANSWER to Complaint with Jury Demand, COUNTERCLAIM against plaintiff Solae, L.L.C. Jury DemandDemand, by Archer Daniels Midland Company.(Abramov, Kirill) (Entered: 04/01/2004)
04/01/2004	<u>75</u>	ANSWER to Complaint with Jury Demand, COUNTERCLAIM against plaintiff Solae, L.L.C. Jury DemandDemand, by Amerifit Nutrition, Inc(Abramov, Kirill) (Entered: 04/01/2004)
03/26/2004		Docket Text ORDER re 74 Consent MOTION for Leave to File An Answer On or before April 1, 2004 filed by Archer Daniels Midland Company; ORDERED Granted. Signed by Judge Henry E. Autrey on 3/26/04. (MJM,) (Entered: 03/26/2004)
03/25/2004	<u>74</u>	Consent MOTION for Leave to File An Answer On or before April 1, 2004 by Defendant Archer Daniels Midland Company. (Abramov, Kirill) (Entered: 03/25/2004)
03/24/2004		Docket Text ORDER re 73 MOTION for Reconsideration filed by Archer Daniels Midland Company; ORDERED DENIED. Signed by Judge Henry E. Autrey on 3/24/04. (MJM,) (Entered: 03/24/2004)
03/18/2004	73	MOTION for Reconsideration by Defendant Archer Daniels Midland Company. (Rovak, Stephen) (Entered: 03/18/2004)
03/15/2004	<u>72</u>	CASE MANAGEMENT ORDER This case is assigned to Track: 3 Motion to Join Parties due by 6/14/2004. Discovery Completion due by 12/20/2004. Non-Dispositive Motions due by 12/31/2004. ADR Future Reference 7/19/2004. Dispositive Motions due by 3/4/2005. Jury Trial set for 6/6/2005 09:30 AM in Courtroom 10N before Honorable Henry E. Autrey. Pretrial Compliance due by 5/18/2005. Motion in Limine due by 5/28/2005 Signed by Judge Henry E. Autrey on 3/15/04. (CEL,) (Entered: 03/15/2004)
03/11/2004	<u>71</u>	ORDER It is hereby ordered that deft. Archer Daniels Midland Co.'s mtn. to dismiss for lack of standing and failure to join an indispensable party is denied #23 motion to dismiss. It is further ordered that mtn. of deft. Amerifit Nutrition Inc., to dismiss pltff.'s complaint is denied [25] Motion to Dismiss Signed by Judge Henry E. Autrey on 3/11/04. (CLA,) (Entered: 03/12/2004)
03/05/2004	70	ORDER It is hereby ordered that deft. Archer Daniels Midland Company's mtn. to vacate the court's order of 8/26/03 #51 is denied. Signed by Judge Jean C. Hamilton on 3/5/04. (CLA,) (Entered: 03/05/2004)
03/05/2004	<u>69</u>	ORDER It is hereby ordered that memorandum withdrawing memorandum in support of mtn. deft. Amerift Nutrition Inc., to

		dismiss pltff.'s complaint filed 7/11/03, is granted [27] Signed by Judge Henry E. Autrey on 3/5/04. (CLA,) (Entered: 03/05/2004)
03/05/2004	<u>68</u>	MEMORANDUM in Support of Motion re [25] Motion to Dismiss filed by Defendant Amerifit Nutrition, Inc (CLA,) (Entered: 03/05/2004)
02/20/2004		Docket Text ORDER re 67 MOTION for Leave to Appear Pro Hac Vice RE: Thomas Fleming filed by Solae, L.L.C Signed by Judge Henry E. Autrey on 2/20/04. (CEL,) (Entered: 02/20/2004)
02/13/2004	<u>67</u>	MOTION for Leave to Appear Pro Hac Vice Thomas Fleming by Plaintiff Solae, L.L.C (MJM,) (Entered: 02/18/2004)
02/13/2004		Receipt # S2004-004255 in the amount of \$25.00 for ATTORNEY ADM. FEE - PHV on behalf of FLEMING, THOMAS F (CSW,) (Entered: 02/13/2004)
02/11/2004	<u>66</u>	ORDER IT IS HEREBY ORDERED that the Rule 16 conference previously scheduled for Friday, March 5, 2004 at 11:30 a.m. is hereby reset to 11:00 a.m. in the chambers of the undersigned. Rule 16 Conference set for 3/5/2004 11:00 AM in In Chambers before Honorable Henry E. Autrey Signed by Judge Henry E. Autrey on 2/11/04. (MJM,) (Entered: 02/11/2004)
01/30/2004	<u>65</u>	JOINT SCHEDULING PLAN by Defendants Amerifit Nutrition, Inc., Archer Daniels Midland Company, Plaintiff Solae, L.L.C (Goerisch, C.) (Entered: 01/30/2004)
01/29/2004	64	ORDER re <u>63</u> Joint MOTION Reschedule Rule 16 Conference and For an Extension of Time to Submit Proposed Scheduling Plan filed by Archer Daniels Midland Company, Solae, L.L.C., Amerifit Nutrition, Inc. IT IS HEREBY ORDERED that Defendant's Joint MOTION to Reschedule Rule 16 Conference and For an Extension of Time to Submit Proposed Scheduling Plan [Doc. #63] is GRANTED. The Rule 16 conference previously scheduled for February 6, 2004 at 12:00 p.m. is hereby rescheduled to Friday, March 5, 2004 at 11:30 a.m. in the chambers of the undersigned. Rule 16 Conference set for 3/5/2004 11:30 AM in Courtroom 10N before Honorable Henry E. Autrey Signed by Judge Henry E. Autrey on 1/29/04. (MJM,) (Entered: 01/29/2004)
01/27/2004	<u>63</u>	Joint MOTION Reschedule Rule 16 Conference and For an Extension of Time to Submit Proposed Scheduling Plan by Defendants Amerifit Nutrition, Inc., Archer Daniels Midland Company, Plaintiff Solae, L.L.C (Abramov, Kirill) (Entered: 01/27/2004)

01/12/2004	<u>62</u>	RULE 16 ORDER This case is assigned to Track: (2) Standard Joint Scheduling Plan due by 1/27/2004. Rule 16 Conference set for 2/6/2004 12:00 PM in In Chambers before Honorable Henry E. Autrey Signed by Judge Henry E. Autrey on 1/12/04. (MJM,) (Entered: 01/12/2004)
01/08/2004		Docket Text ORDER; ORDERED GRANTING notice doc #60 - attys with Thompson Coburn and Greenberg Traurig termed as attys of record. Signed by Judge Henry E. Autrey on 1/8/04. (CEL,) (Entered: 01/20/2004)
01/08/2004		Docket Text ORDER; ORDERED GRANTING notice of firm change doc. #59 - address updated re: atty Carson; atty Ries termed as atty of record. Signed by Judge Henry E. Autrey on 1/8/04. (CEL,) (Entered: 01/20/2004)
01/06/2004	<u>61</u>	ENTRY of Appearance by Kirill Y. Abramov Defendant Amerifit Nutrition, Inc (Abramov, Kirill) (Entered: 01/06/2004)
01/06/2004	<u>60</u>	NOTICE by Defendant Amerifit Nutrition, Inc. of Withdrawal of Counsel (Cassetta, Richard) (Entered: 01/06/2004)
01/06/2004	<u>59</u>	NOTICE by Plaintiff Solae, L.L.C. of Firm Change for Certain Counsel and Withdrawal of Certain Other Counsel (Goerisch, C.) (Entered: 01/06/2004)
12/12/2003	<u>58</u>	MEMORANDUM re <u>57</u> Reply to Response to Motion by Defendants Amerifit Nutrition, Inc., Archer Daniels Midland Company. (Abramov, Kirill) (Entered: 12/12/2003)
12/11/2003		Docket Text ORDER re 53 Joint MOTION to Consolidate Cases Consolidate with higher case number 4:03CV1595 filed by Archer Daniels Midland Company, Amerifit Nutrition, Inc.; ORDERED Denied. Signed by Judge Henry E. Autrey on 12/11/03. (MJM,) (Entered: 12/16/2003)
12/11/2003	<u>57</u>	REPLY to Response to Motion re <u>53</u> Joint MOTION to Consolidate Cases Consolidate with higher case number 4:03CV1595 filed by Defendants Amerifit Nutrition, Inc., Archer Daniels Midland Company. (Abramov, Kirill) (Entered: 12/11/2003)
12/04/2003	<u>56</u>	RESPONSE/Memorandum in Opposition re <u>53</u> Joint MOTION to Consolidate Cases Consolidate with higher case number 4:03CV1595 filed by Plaintiff Solae, L.L.C (Attachments: # <u>1</u> Exhibit 1) (Goerisch, C.) (Entered: 12/04/2003)
11/21/2003	<u>55</u>	SUPPLEMENTAL re 53 Joint MOTION to Consolidate Cases

•		Consolidate with higher case number 4:03CV1595 <i>PROPOSED ORDER</i> by Defendants Amerifit Nutrition, Inc., Archer Daniels Midland Company. (Abramov, Kirill) (Entered: 11/21/2003)
11/21/2003	<u>54</u>	MEMORANDUM in Support of Motion re <u>53</u> Joint MOTION to Consolidate Cases Consolidate with higher case number 4:03CV1595 filed by Defendants Amerifit Nutrition, Inc., Archer Daniels Midland Company. (Abramov, Kirill) (Entered: 11/21/2003)
11/21/2003	<u>53</u>	Joint MOTION to Consolidate Cases Consolidate with higher case number 4:03CV1595 by Defendants Amerifit Nutrition, Inc., Archer Daniels Midland Company. (Attachments: # 1 Exhibit A# 2 Exhibit B)(Abramov, Kirill) (Entered: 11/21/2003)
09/03/2003	52	RESPONSE by plaintiff Solae, L.L.C. to motion requesting the Court to vacate its order of 8/26/03 [51-1] (MJM) (Entered: 09/05/2003)
08/29/2003	51	MOTION by defendant Archer Daniels Co. requesting the Court to vacate its order of 8/26/03 (MJM) (Entered: 09/05/2003)
08/29/2003	51	RESPONSE by defendant Archer Daniels Co. to [48-1] (CLA) (Entered: 09/02/2003)
08/26/2003	50	SEALED DOCUMENT by plaintiff Solae, L.L.C. [50-1] (MJM) (Entered: 08/27/2003)
08/26/2003	50	SUR-REPLY by plaintiff Solae, L.L.C. to response to motion to dismiss Plaintiff's Complaint Date Motion Received: 7/11/03 [25-1], motion to dismiss Date Motion Received: 7/10/03 [23-1] [23-1] w/ exh 19 attached (FILED UNDER SEAL) (MJM) Modified on 08/27/2003 (Entered: 08/27/2003)
08/26/2003	<u>49</u>	RULED DOCUMENT by Honorable Henry E. Autrey granting motion for leave to file surreply under seal [48-1] (cc: all counsel) (MJM) (Entered: 08/27/2003)
08/25/2003	48	MOTION by plaintiff Solae, L.L.C. for leave to file surreply under seal (MJM) (Entered: 08/26/2003)
08/14/2003	47	REPLY by defendant Archer Daniels Co. to response to motion to dismiss Date Motion Received: 7/10/03 [23-1] [23-1] w/ exhs m-o attached (MJM) (Entered: 08/15/2003)
08/14/2003	46	REPLY by defendant Amerifit Nutrition to response to motion to dismiss Plaintiff's Complaint Date Motion Received: 7/11/03 [25-1] (MJM) (Entered: 08/15/2003)

08/11/2003	45	SEALED DOCUMENT by plaintiff Solae, L.L.C. [45-1] (MJM) (Entered: 08/11/2003)
08/11/2003	45	RESPONSE (memo in opp.) by plaintiff Solae, L.L.C. to motion to dismiss Plaintiff's Complaint Date Motion Received: 7/11/03 [25-1] w/ declaration of David F. Ries in support of resp. to mtn. attached (FILED UNDER SEAL) (MJM) (Entered: 08/11/2003)
08/11/2003	44	RULED DOCUMENT by Honorable Henry E. Autrey granting motion for leave to file resp. to motion under seal [43-1] (cc: all counsel) (MJM) (Entered: 08/11/2003)
08/04/2003	43	MOTION by plaintiff Solae, L.L.C. for leave to file resp. to motion under seal w/ resp. attached (MJM) (Entered: 08/05/2003)
07/25/2003	42	DISCLOSURE of Corporation Interests Certificate Parent companies: None Subsidiaries: All subsidiaries are wholly owned by Amerifit Publicly held company: Jefferies, Inc., Fleet Bank and GlaxoSmithKline each are public companies which own ten percent (10%) or more of Amerifit through various private investment funds which they own or control by defendant Amerifit Nutrition (MJM) (Entered: 07/28/2003)
07/23/2003	41	RULED DOCUMENT by Honorable Henry E. Autrey granting motion for attorney Annapoorni R. Sankaran to appear pro hac vice [38-1] (cc: all counsel) (CLA) (Entered: 07/25/2003)
07/23/2003	<u>40</u>	RULED DOCUMENT by Honorable Henry E. Autrey granting motion for attorney Gary R. Greenburg to appear pro hac vice [37-1] (cc: all counsel) (CLA) (Entered: 07/25/2003)
07/22/2003	38	MOTION by defendant Amerifit Nutrition for attorney Annapoorni R. Sankaran to appear pro hac vice (MJM) (Entered: 07/23/2003)
07/22/2003	37	MOTION by defendant Amerifit Nutrition for attorney Gary R. Greenburg to appear pro hac vice (MJM) (Entered: 07/23/2003)
07/21/2003	36	RULED DOCUMENT by Honorable Henry E. Autrey granting motion for additional time to file response to defendant Amerifit's Motion to dismiss [28-1] Pltff granted until 8/4 to file opposition to Amerifit's motion and Amerifit is granted until 8/14 to file reply (cc: all counsel) (CEL) (Entered: 07/22/2003)
07/21/2003	<u>35</u>	RULED DOCUMENT by Honorable Henry E. Autrey granting motion for attorney Donald R. Banowit to appear pro hac vice [29-1] (cc: all counsel) (CEL) (Entered: 07/21/2003)

<u>34</u>	RULED DOCUMENT by Honorable Henry E. Autrey granting motion for attorney Timothy J. Shea, Jr. to appear pro hac vice [30-1] (cc: all counsel) (CEL) (Entered: 07/21/2003)
33	RULED DOCUMENT by Honorable Henry E. Autrey granting motion for attorney David K.S. Cornwell to appear pro hac vice [31-1] (cc: all counsel) (CEL) (Entered: 07/21/2003)
32	RECEIPT # S2003-009440 in the amount of \$ 75.00 for Attorney Admission for Donald R. Banowit, Timothy J. Shea, Jr., and David K.S. Cornwell (MJM) (Entered: 07/18/2003)
31	MOTION by defendant Archer Daniels Co. for attorney David K.S. Cornwell to appear pro hac vice (MJM) (Entered: 07/18/2003)
30	MOTION by defendant Archer Daniels Co. for attorney Timothy J. Shea, Jr. to appear pro hac vice (MJM) (Entered: 07/18/2003)
29	MOTION by defendant Archer Daniels Co. for attorney Donald R. Banowit to appear pro hac vice (MJM) (Entered: 07/18/2003)
28	MOTION by plaintiff Solae, L.L.C. for additional time to file response to defendant Amerifit's Motion to dismiss (MJM) (Entered: 07/17/2003)
27	MOTION by defendant Amerifit Nutrition to withdraw the memo in support of motion to dismiss w/ corrected memo in support attached (MJM) (Entered: 07/15/2003)
<u>26</u>	RULED DOCUMENT by Honorable Henry E. Autrey granting motion for extension of time for Plaintiff to file a response to motion to dismiss up to and including 8/4/03 and Defendant to file a reply in support of motion up to and including 8/14/03 [24-1], granting motion for leave to submit motion to dismiss [24-1] (cc: all counsel) (MJM) (Entered: 07/15/2003)
25	MOTION by defendant Amerifit Nutrition to dismiss Plaintiff's Complaint Date Motion Received: 7/11/03 (MJM) (Entered: 07/15/2003)
24	MOTION by plaintiff Solae, L.L.C., defendant Archer Daniels Co. for extension of time for Plaintiff to file a response to motion to dismiss up to and including 8/4/03 and Defendant to file a reply in support of motion up to and including 8/14/03 w/ proposed order, motion to dismiss, memo in support and declaration of David K.S. Cornwell attached (MJM) (Entered: 07/11/2003)
	33 32 31 30 29 28 27

07/10/2003	24	MOTION by plaintiff Solae, L.L.C., defendant Archer Daniels Co. for leave to submit motion to dismiss w/ proposed order and motion to dismiss, memo in support and declaration of David K.S. Cornwell attached. (MJM) (Entered: 07/11/2003)
07/03/2003	23	MOTION by defendant Archer Daniels Co. to dismiss Date Motion Received: 7/10/03 [23-1] w/ memo in support and declaration of David K.S. Cornwell in support of motion attached (MJM) (Entered: 07/15/2003)
07/03/2003	23	Notice of Motion: Motion to Dismiss by defendant Archer Daniels Co. (MJM) (Entered: 07/08/2003)
07/02/2003	39	RECEIPT # S2003-009526 in the amount of \$ 50.00 for Attorney Admission for Gary Greenburg and Annapoorni Sankaran (MJM) (Entered: 07/23/2003)
07/01/2003	22	RULED DOCUMENT by Honorable Henry E. Autrey granting motion for an extension of time to respond to Plaintiff's complaint up to and including 7/14/03 [19-1] (cc: all counsel) (MJM) (Entered: 07/02/2003)
06/30/2003	21	ATTORNEY APPEARANCE for plaintiff Solae, L.L.C. by Patricia Carson (Entry of appearance received in Clerk's Office on 6/20/03Order admitting pro-hac signed 6/30/03) (MJM) (Entered: 07/01/2003)
06/30/2003	<u>20</u>	RULED DOCUMENT by Honorable Henry E. Autrey granting motion for attorney Patricia A. Carson to appear pro hac vice [12-1] (cc: all counsel) (MJM) (Entered: 07/01/2003)
06/30/2003	19	MOTION by defendant Amerifit Nutrition for an extension of time to respond to Plaintiff's complaint up to and including 7/14/03 (MJM) (Entered: 07/01/2003)
06/26/2003	18	DISCLOSURE of Corporation Interests Certificate Parent companies: None Subsidiaries: See Attachment Publicly held company: None by defendant Archer Daniels Co. (MJM) (Entered: 06/27/2003)
06/26/2003	<u>17</u>	RULED DOCUMENT by Honorable Henry E. Autrey granting motion for extension of time to answer Plaintiff's complaint until 7/3/03 [16-1] (cc: all counsel) (MJM) (Entered: 06/26/2003)
06/26/2003	16	MOTION by defendant Archer Daniels Co. for extension of time to answer Plaintiff's complaint until 7/3/03 (MJM) (Entered: 06/26/2003)

06/26/2003	16	ATTORNEY APPEARANCE for defendant Archer Daniels Co. by Stephen H. Rovak, Michael T. Marrah, Kirill Y. Abramov (MJM) (Entered: 06/26/2003)
06/23/2003	15	ATTORNEY APPEARANCE for plaintiff Solae, L.L.C. by David F. Ries (MJM) (Entered: 06/24/2003)
06/23/2003	<u>14</u>	RULED DOCUMENT by Honorable Henry E. Autrey granting motion for attorney David F. Ries to appear pro hac vice [10-1] (cc: all counsel) (MJM) (Entered: 06/24/2003)
06/20/2003	13	RECEIPT # S2003-008425 in the amount of \$ 25.00 for Attorney admission for Patricia Carson (MJM) (Entered: 06/24/2003)
06/20/2003	12	MOTION by plaintiff Solae, L.L.C. for attorney Patricia A. Carson to appear pro hac vice (MJM) (Entered: 06/24/2003)
06/19/2003	11	RECEIPT # S2003-008389 in the amount of \$ 25.00 for Attorney admission for David L. Ries (MJM) (Entered: 06/20/2003)
06/19/2003	10	MOTION by plaintiff Solae, L.L.C. for attorney David F. Ries to appear pro hac vice (MJM) (Entered: 06/20/2003)
06/12/2003	. 9	RETURN OF SERVICE executed upon defendant Archer Daniels Co. on 6/4/03 by personal service by serving Bonnie Hunt, Registered Agent (MJM) (Entered: 06/13/2003)
06/11/2003	8	RETURN OF SERVICE executed upon defendant Amerifit Nutrition on 6/10/03 by personal service by serving Luz Marquez (MJM) (Entered: 06/12/2003)
06/05/2003	7	RULED DOCUMENT by Honorable Henry E. Autrey granting motion for order to substitute exhibit a of the complaint filed 6/3/03 [6-1] (cc: all counsel) (MJM) (Entered: 06/05/2003)
06/04/2003	6	MOTION by plaintiff Solae, L.L.C. for order to substitute exhibit a of the complaint filed 6/3/03 substituting exhibit a attached (MJM) (Entered: 06/05/2003)
06/03/2003		REPORT on the filing or determination of an action regarding Patent (cc: form mailed to register) (KLK) (Entered: 06/03/2003)
06/03/2003	5	NOTICE OF PRIVATE PROCESS SERVER; Process Server: Markell & Associates (KLK) (Entered: 06/03/2003)
06/03/2003	4	DISCLOSURE of Corporation Interests Certificate Parent companies:

		E.I. du Pont de Nemours and Company and Bunge Ltd. Subsidiaries: So Good International, Fuji Protein Technologies, DuPont Shineway Louhe Protein Company Limited, and Solae do Brasil. Publicly held company: E.I. du Pont de Nemours and Company and Bunge Ltd. by plaintiff Solae, L.L.C. (KLK) (Entered: 06/03/2003)
06/03/2003	3	TRACK INFORMATION STATEMENT filed by plaintiff Solae, L.L.C. track 3 preferred (KLK) (Entered: 06/03/2003)
06/03/2003	2	RECEIPT # S2003-007859 in the amount of \$ 150.00 for filing fee (KLK) (Entered: 06/03/2003)
06/03/2003	1	COMPLAINT; # Summons Issued: 2 # Days to Respond: 20 # Counts: 1 Disclosure of Corp Cert issued to: deft; jury demand exhibits attached: A-B (KLK) (Entered: 06/03/2003)

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Description:	Docket Report	Case Number:	4:03-cv-00732-HEA
Billable Pages:	6	Cost:	0.42

U.S. District Court Eastern District of Missouri (LIVE) (St. Louis) CIVIL DOCKET FOR CASE #: 4:03-cv-01595-HEA

Solae, L.L.C. v. Archer Daniels Midland Company et al

Assigned to: Honorable Henry E. Autrey

Referred to:

Demand: \$

Lead Docket: <u>4:03-cv-00732-HEA</u>

Related Cases: None Case in other court: None

Cause: 15:1126 Patent Infringement

Date Filed: 11/04/03 Jury Demand: Both

Nature of Suit: 830 Patent Jurisdiction: Federal Question

Filing Date	#	Docket Text
04/28/2004	33	ORDER REASSIGNING CASE. Case reassigned to Judge Henry E. Autrey for all further proceedings. Judge Rodney W. Sippel no longer assigned to case, Cases associated Signed by Judge Henry E. Autrey on 4/28/04. (MJM,) (Entered: 04/28/2004)
04/27/2004		Docket Text ORDER re 31 Consent MOTION for Leave to File a Third Supplemental Complaint filed by Solae, L.L.C. ORDERED GRANTEDSigned by Judge Rodney W. Sippel on 4/27/04. (LAH,) (Entered: 04/27/2004)
04/26/2004	32	AMENDED COMPLAINT (Third Supplemental Complaint) against defendant(s)all defendants Jury Demand, (Third Supplemental Complaint), filed by Solae, L.L.C (Attachments: # 1 Exhibit 1 U.S. Patent 6,642,212# 2 Exhibit 2 U.S. Patent 6,664,382# 3 Exhibit 3 U.S. Patent 6,680,381# 4 Exhibit 4 U.S. Patent 6,706,292)(Goerisch, C.) (Entered: 04/26/2004)
04/26/2004	31	Consent MOTION for Leave to File a Third Supplemental Complaint by Plaintiff Solae, L.L.C (Goerisch, C.) (Entered: 04/26/2004)
04/26/2004	30	NOTICE of Filing of Motion to consolidated by Plaintiff Solae, L.L.C., Defendants Archer Daniels Midland Company, Amerifit Nutrition, Inc., Counter Claimants Amerifit Nutrition, Inc., Archer Daniels Midland Company, Counter Defendants Solae, L.L.C., Solae, L.L.C. with case number 03-732 (Attachments: # 1 Exhibit 1) (Goerisch, C.) (Entered: 04/26/2004)
04/21/2004	<u>29</u>	Plaintiff's ANSWER to Counterclaim of Defendant Amerifit Nutrition, Inc. by Solae, L.L.C(Goerisch, C.) (Entered: 04/21/2004)
04/21/2004	<u>28</u>	Plaintiff's ANSWER to Counterclaim of Defendant Archer Daniels Midland Company by Solae, L.L.C(Goerisch, C.) (Entered:

		04/21/2004)
04/07/2004	27	RULE 16 ORDER: This case is assigned to Track 2: Standard; Joint Scheduling Plan due by 4/30/2004; Rule 16 Conference set for 5/7/2004 10:00 AM in Chambers before Honorable Rodney W. Sippel; Signed by Judge Rodney W. Sippel on 4/7/04(CMA) (Entered: 04/07/2004)
04/01/2004	<u>26</u>	ANSWER to Amended Complaint, COUNTERCLAIM against plaintiff Solae, L.L.C. by Archer Daniels Midland Company. (Abramov, Kirill) (Entered: 04/01/2004)
04/01/2004	25	ANSWER to Amended Complaint, COUNTERCLAIM against plaintiff Solae, L.L.C. by Amerifit Nutrition, Inc(Abramov, Kirill) (Entered: 04/01/2004)
03/02/2004	<u>24</u>	ORDER - IT IS HEREBY ORDERED that Defendant's Consent Motion for Extension of Time to File their Responsive Pleading 23 is GRANTED. Defendant's Responsive Pleading shall be filed no later than April 1, 2004. IT IS FURTHER ORDERED that an additional extension will only be granted if presented in person by lead counsel during informal matters with this court. Signed by Judge Rodney W. Sippel on 3/2/04. (LAH,) (Entered: 03/02/2004)
03/01/2004	23	Consent MOTION for Extension of Time to File <i>Responsive Pleading</i> ; Extension to file the following: Responsive Pleading; Proposed extension date April 1, 2004 by Defendants Amerifit Nutrition, Inc., Archer Daniels Midland Company. (Abramov, Kirill) (Entered: 03/01/2004)
02/10/2004	22	ORDER: IT IS HEREBY ORDERED that Pltf's Motion for Leave to File Second Supplemental Complaint is GRANTED; Signed by Judge Rodney W. Sippel on 2/10/04(CMA) (Entered: 02/10/2004)
02/10/2004		Docket Text ORDER re 21 MOTION for Leave to Appear Pro Hac Vice Thomas F. Fleming filed by Solae, L.L.C.; ORDERED GRANTED. Signed by Judge Rodney W. Sippel on 2/10/04. (ARL) (Entered: 02/10/2004)
02/06/2004	21	MOTION for Leave to Appear Pro Hac Vice Thomas F. Fleming by Plaintiff Solae, L.L.C (KCM,) (Entered: 02/09/2004)
02/06/2004		Receipt # S2004-004022 in the amount of \$25.00 for ATTORNEY ADM. FEE - PHV on behalf of LEWIS, RICE & FINGERSH. (CSW,) (Entered: 02/06/2004)
02/02/2004		Docket Text ORDER - re 20 Consent MOTION for Extension of

•		Time to File Responsive Pleading; Extension to file the following: Responsive Pleading up to and including March 1, 2004 filed by Archer Daniels Midland Company, Amerifit Nutrition, Inc.; ORDERED GRANTED. Signed by Judge Rodney W. Sippel on 2/2/04. (LAH,) (Entered: 02/02/2004)
01/30/2004	<u>20</u>	Consent MOTION for Extension of Time to File <i>Responsive Pleading</i> ; Extension to file the following: Responsive Pleading; Proposed extension date March 1, 2004 by Defendants Amerifit Nutrition, Inc., Archer Daniels Midland Company. (Abramov, Kirill) (Entered: 01/30/2004)
01/29/2004	<u>19</u>	AMENDED COMPLAINT (SECOND SUPPLEMENTAL COMPLAINT) against defendant(s) Amerifit Nutrition, Inc., Archer Daniels Midland Company JURY Demand, (SECOND SUPPLEMENTAL COMPLAINT), filed by Solae, L.L.C Related document: 18 MOTION for Leave to File Second Supplemental Complaint filed by Solae, L.L.C (Attachments: # 1 Exhibit Appendix)(Goerisch, C.) (Entered: 01/29/2004)
01/29/2004	<u>18</u>	MOTION for Leave to File Second Supplemental Complaint by Plaintiff Solae, L.L.C (Goerisch, C.) (Entered: 01/29/2004).
01/22/2004		Docket Text ORDER re 17 MOTION for Leave to Appear Pro Hac Vice Patricia A. Carson filed by Solae, L.L.C.; ORDERED GRANTED. Signed by Judge Rodney W. Sippel on 1/22/04. (ARL,) (Entered: 01/22/2004)
01/16/2004	<u>17</u>	MOTION for Leave to Appear Pro Hac Vice (Attorney Patricia A. Carson) by Plaintiff Solae, L.L.C. (Receipt No: S2004-3243) (CMA) (Entered: 01/21/2004)
01/16/2004		Receipt # S2004-003243 in the amount of \$25.00 for ATTORNEY ADM. FEE - PHV on behalf of CARSON, PATRICIA A (CSW,) (Entered: 01/21/2004)
01/13/2004	<u>16</u>	Letter to attorney Donald R. Banowit from Clerk Re: Admission Pro Hac Vice (CMA) (Entered: 01/13/2004)
01/13/2004	<u>15</u>	Letter to attorney Timothy J. Shea, Jr. from Clerk Re: Admission Pro Hac Vice (CMA) (Entered: 01/13/2004)
01/13/2004	<u>14</u>	Letter to attorney David K. S. Cornwell from Clerk Re: Admission Pro Hac Vice (CMA) (Entered: 01/13/2004)
01/13/2004		Docket Text ORDER re 13 Consent MOTION for Extension of Time to File Responsive Pleading; Extension to file the following:

		Responsive Pleading; Proposed extension date January 30, 2004 filed by Archer Daniels Midland Company, Amerifit Nutrition, Inc.; ORDERED Granted; Signed by Judge Rodney W. Sippel on 1/13/04 (CMA) (Entered: 01/13/2004)
01/12/2004	<u>13</u>	Consent MOTION for Extension of Time to File Responsive Pleading; Extension to file the following: Responsive Pleading; Proposed extension date January 30, 2004 by Defendants Amerifit Nutrition, Inc., Archer Daniels Midland Company. (Attachments: # 1 Text of Proposed Order)(Abramov, Kirill) (Entered: 01/12/2004)
01/06/2004	<u>12</u>	ENTRY of Appearance by Kirill Y. Abramov Defendant Amerifit Nutrition, Inc (Abramov, Kirill) (Entered: 01/06/2004)
01/06/2004	<u>11</u>	NOTICE by Defendant Amerifit Nutrition, Inc. of Withdrawal of Counsel (Cassetta, Richard) (Entered: 01/06/2004)
12/30/2003		Docket Text ORDER - GRANTING re 10 MOTION for Extension of Time to File Responsive Pleading; Extension to file the following: Responsive Pleading; Proposed extension date January 13, 2004 filed by Archer Daniels Midland Company, Amerifit Nutrition, Inc. Signed by Judge Rodney W. Sippel on 12/30/03. (LAH,) (Entered: 12/30/2003)
12/29/2003	<u>10</u>	MOTION for Extension of Time to File Responsive Pleading; Extension to file the following: Responsive Pleading; Proposed extension date January 13, 2004 by Defendants Amerifit Nutrition, Inc., Archer Daniels Midland Company. (Attachments: # 1 Text of Proposed Order)(Abramov, Kirill) (Entered: 12/29/2003)
12/29/2003	9	AMENDED COMPLAINT against defendant(s)all defendants, filed by Solae, L.L.C (Attachments: # 1 Exhibit 1# 2 Exhibit 2)(Goerisch, C.) (Entered: 12/29/2003)
12/29/2003		Docket Text ORDER re 8 MOTION for Leave to File First Supplemental Complaint filed by Solae, L.L.C.; ORDERED GRANTED. Signed by Judge Rodney W. Sippel on 12/29/03. (Please note - Plaintiff needs to electronically file the First Supplemental Complaint and Exhibits)(ARL,) (Entered: 12/29/2003)
12/23/2003	<u>8</u>	MOTION for Leave to File First Supplemental Complaint by Plaintiff Solae, L.L.C (Attachments: # 1 First Supplemental Complaint# 2 Exhibit 1 to Solae's First Supplemental Complaint# 3 Exhibit 2 to Solae's First Supplemental Complaint)(Goerisch, C.) (Entered: 12/23/2003)
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12/12/2003		Docket Text ORDER - GRANTING Joint Motion to File Reponsive Pleading On or Before Dec. 29, 2003 by defts [Doc. #7]Signed by Judge Rodney W. Sippel (LAH,) (Entered: 12/12/2003)
12/11/2003	<u>7</u>	Joint MOTION for Leave to File Responsive Pleading On or Before December 29, 2003 by Defendants Amerifit Nutrition, Inc., Archer Daniels Midland Company. (Attachments: # 1 Text of Proposed Order Proposed Order)(Abramov, Kirill) (Entered: 12/11/2003)
11/25/2003	<u>6</u>	CJRA ORDER (jgw). Case reassigned to Judge Rodney W. Sippel for all further proceedings (DLB,) (Entered: 11/25/2003)
11/21/2003	<u>5</u>	NOTICE of Filing of Motion to consolidated by Defendants Amerifit Nutrition, Inc., Archer Daniels Midland Company with case number 4:03cv732HEA (Attachments: # 1 Exhibit A)(Abramov, Kirill) (Entered: 11/21/2003)
11/07/2003	<u>4</u>	REPORT to Commissioner of filing or determination of an action regarding Patent (SAJ,) (Entered: 11/07/2003)
11/04/2003		Receipt # S2004-001226 in the amount of \$150.00 for CIVIL FILING FEE, CIVIL FILING FEE-2ND 1/2 on behalf of LEWIS, RICE & FINGERSH. (CSW,) (Entered: 12/13/2003)
11/04/2003	<u>3</u>	DISCLOSURE OF CORPORATION INTERESTS CERTIFICATE by Plaintiff Solae, L.L.C. Parent companies: Solae Holdings LLC, the members of which are E.I. du Pont de Nemours and Company and Central Soya Company, Inc (a wholly owned subsidiary of Bunge Ltd.), Subsidiaries: So Good International, Fuji Protein Technologies, DuPont Shineway Louhe Protein Company Limited, Solae do Brasil., Publicly held company: E.I. du Pont de Nemours and Company Bunge, Ltd.,. (SAJ,) (Entered: 11/07/2003)
11/04/2003	2	NOTICE OF PRIVATE PROCESS SERVER; by Plaintiff Solae, L.L.C. Process Server Markell & Associates, (SAJ,) (Entered: 11/07/2003)
11/04/2003	1	COMPLAINT against defendant Amerifit Nutrition, Inc., Archer Daniels Midland Company 2 Summons(es) issued, in the amount of \$150, Consents issued 3, Disclosure of Corporate Interest issued to Deft, Jury Demand,, filed by Solae, L.L.C (Attachments: # 1 Exhibit A# 2 Civil Cover Sheet # 3 Original Filing Form)(SAJ,) (Entered: 11/07/2003)

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Billable Pages:	3	Cost:	0.21

10. (New) A process for the manufacture of a medicament for the treatment, amelioration, prophylaxis and/or prevention of UV induced immunosuppression in the skin, and UV induced skin disorders, which process comprises providing a compound of Formula I:

in which

Z is H,

 R_1 is H, or R_ACO where R_A is C_{1-10} alkyl or an amino acid,

 R_2 is H, OH, or OR_B where R_B is an amino acid or COR_A where R_A is as previously defined, W is H, A is H or OH, and B is selected from

W is H, and A and B taken together form a six membered ring selected from

W, A, and B taken with the groups with which they are associated comprise

$$R_1O$$
 R_4
 R_2
 R_4
 R_4

W and A taken together with the groups with which they are associated comprise

$$R_{1}O$$
 $R_{1}O$
 R_{2}
 R_{2}

and B is

wherein

 R_3 is H, COR_A where R_A is as previously defined, CO_2R_C where R_C is C_{1-10} alkyl, or COR_B where R_B is as previously defined,

 R_4 is H, COR_D where R_D is H, OH, C_{1-10} alkyl or an amino acid, CO_2R_C where R_C is as previously defined, COR_E where R_E is H, C_{1-10} alkyl or an amino acid, COOH, COR_C where R_C is as previously defined, or $CONHR_E$ where R_E is as previously defined,

 R_5 is H, CO_2R_C where R_C is as previously defined, or COR_COR_E where R_C and R_E are as previously defined, and with the proviso that when the two R_5 groups are attached to the same group, they are identical or different,

 R_6 is H or hydroxy C_{1-10} alkyl,

X is O, N or S, and

Y is

where R₇ is H, or C₁₋₁₀ alkyl,

and blending said compound with one or more dermatologically acceptable carriers so as to provide a dermatologically acceptable composition.

11. (New) A process according to claim 1 wherein the compound of Formula I is selected from:

$$R_1O$$
 O
 R_8
 OH
 OH
 OH
 OH

HO
$$O$$
 R_9 (9) OH OH

$$R_{12}$$
 (10)

HO
$$OH$$
 R_{14} (12)

Attorney Docket No. 07579-0012-02000 Pending Claims After Preliminary Amendment of September 21, 2004

wherein

R₇, is H or CH₃,

 R_8 is COR_D where R_D is as previously defined, or H,

R₉ is CO₂R_C or COR_E where R_C and R_E are as previously defined,

R₁₀ is COR_C or COR_COR_E where R_C and R_E are as previously defined,

 R_{11} is H or OH,

 R_{12} is H, COOH, CO_2R_C where R_C is as previously defined, or CONHR_E where R_E is as previously defined,

 R_{13} is OH, OR_B where R_B is as previously defined, or COR_A where R_A is as previously defined, R_{14} is H, or COR_A where R_A is as previously defined,

R₁₅ is COR_A where R_A is as previously defined,

R₁₆ is H, COR_B or CO₂R_C where R_B and R_C are as previously defined,

 R_{17} is H or hydroxy C_{1-10} alkyl,

 R_{18} is H or C_{1-10} alkyl,

and "---" represents either a single bond or a double bond.

- 12. (New) A process for the manufacture of a medicament for the treatment, amelioration, prophylaxis and/or prevention of UV induced immunosuppression in the skin, and UV induced skin disorders, which process comprises providing an extract of soy or clover and blending said extract with one or more dermatologically acceptable carriers so as to provide a dermatologically acceptable composition.
- 13. (New) A process according to claim 10 which further includes \mathcal{F} -(1,3)(1,6)-glucan.
- 14. (New) The process according to claim 11 wherein the compounds of Formula (I) are genistein, equal, dehydroequal, tetrahydrodaidzein, and O-desmethyl-angolensin.

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